SP.13.04 Proposed Amendments to the Statutes Regarding the Title of the Chancellor

BACKGROUND
On October 24, 2012 University Senates Conference Chair Nicholas Burbules communicated to each of the Senates a recommendation from the Board of Trustees (BOT) to amend the Statutes and General Rules to “revise certain administrative titles.” It stated:

In November 2010, the Board of Trustees amended the Statutes and General Rules to, among other amendments, change the titles of the chancellors to "Vice President/Chancellor at the (Urbana-Champaign, Chicago, or Springfield) Campus." It is recommended at this time to reverse the order of the titles from "Vice President/Chancellor at the (Urbana-Champaign, Chicago, or Springfield) Campus" to "Chancellor at the (Urbana-Champaign, Chicago, or Springfield) Campus/Vice President" to signify that each chancellor has primary responsibility for the campus while also serving as a member of the University of Illinois leadership team.

The University Senates Conference requested that each Senate follow its own process for reviewing and offering advice on the proposed changes. At Urbana-Champaign, the process is referenced in Senate Bylaws and Standing Rules, which assign responsibility for review of the form of amendments to the Senate Committee on University Statutes and Senate Procedures (USSP). USSP has examined the proposal in detail and provides this document as its guidance to the Senate.

USSP presumes that the intent of the 2012 BOT recommendation is simply to reverse the order of words in all the current instances of “vice president/chancellor” to “chancellor/vice president” whether in singular or plural form. In considering the proposed amendment, USSP reexamined the extensive advice it had provided in November 2010 when the title of chancellor had been changed to “vice president/chancellor” (c.f. SP.11.04). In that advice, USSP noted that if the modifier “vice president” was to be added to the title of “chancellor,” maximum clarity would be achieved if the compound title of “vice president/chancellor” were used only once and that all subsequent references to the position remain as simply “chancellor.”

After examining all of the specific changes needed to meet the current BOT recommendation, USSP believes that, as a stylistic matter, greater clarity and precision
can be achieved by using the simple title of “chancellor” for all instances after the first “chancellor/vice president” in Article I, Section 5. However, the USSP recognizes that in 2010 the BOT chose to approve changes that use the compound title throughout the Statutes and General Rules and may not be prepared to revisit this decision at the present time. If that is the case, USSP supports the approach of simply reversing the order of words in all instances of the compound title in the current version of the Statutes and General Rules. Considering the importance of the building of relationships between the Senate and the BOT, as noted in the UIUC Senate’s October 8 adoption of a Statement on Faculty Representation and Shared Governance (SC.13.04), USSP recommends that the Senate go on record in favor of the change proposed by the BOT and represented in the attached document.

In preparing this proposal, the USSP has made several minor editorial changes in the phrasing and punctuation of the BOT-proposed amendments. USSP recommends that the Senate advise the University Senates Conference to include those changes with the advice offered by other Senates and to advance them to the BOT. These editorial items are highlighted in yellow at lines 6-7, 10-13, 229-230, 531, 543, and 560.

**RECOMMENDATION**
The Senate Committee on University Statutes and Senate Procedures recommends Senate approval of the following revisions to the Statutes, and requests that this background statement as well as attached document containing the revisions be forwarded by the University Senates Conference to the Board of Trustees. Text to be added is underscored, and text to be deleted is indicated in [square brackets]. Note that any paragraphs where no changes appear are included in this document as context for related paragraphs which do include deletion or insertion marks. For the complete context for all changes, Senators may want to compare the document below to the full Statutes available at:  http://www.uillinois.edu/trustees/statutes.cfm

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ARTICLE I. UNIVERSITY ADMINISTRATION

Section 3. The University Officers

The university officers are identified in *The General Rules Concerning University Organization and Procedure*. Prior to recommending to the Board of Trustees the initial appointment of any university officer, except the president and the [vice president/chancellor] chancellor/vice president, the president shall seek the advice of the University Senates Conference. On the occasion of the reappointment of any university officer, the University Senates Conference may submit its advice if it so elects.

Section 5. [Vice Presidents and Campus Chancellors]Chancellors/Vice Presidents

There shall be a [vice president, University of Illinois and] chancellor at each campus of the University [(vice president/chancellor] who shall also be a vice president of the University (chancellor/vice president). The [vice president/chancellor] chancellor/vice president, under the direction of the president, shall serve as the chief executive officer for the campus. The [vice president/chancellor] chancellor/vice president shall perform such duties as may be delegated and assigned by the president and as may be consistent with the Statutes of the University, *The General Rules*, and actions of the Board of Trustees.

The [vice president/chancellor] chancellor/vice president shall be appointed annually by the Board of Trustees on the recommendation of the president. On the occasion of the appointment of a new [vice president/chancellor] chancellor/vice president, the president shall have the advice of a committee selected by the senate of the campus concerned. On the occasion of a reappointment, the senate may submit its advice if it so elects.

ARTICLE II. LEGISLATIVE ORGANIZATION

Section 1. Campus Senates
g. Each senate shall adopt bylaws which shall govern except as otherwise provided in these Statutes its procedures and practices, including such matters as committee structure and duties, calling of meetings and establishment of agenda, and selection of officers. The bylaws of each senate shall provide for committees or other bodies to exercise those statutory duties specified in other sections of these Statutes, e.g., academic freedom and tenure, student discipline, and student affairs. The bylaws and any changes thereto shall be reported to the Board of Trustees through the [vice president/chancellor] chancellor/vice president and the president.

Section 3. Faculty Role in Governance

a. (1) The faculty of the University and any of its units except for the Graduate College consists of those members of the academic staff with the rank or title in that unit of professor, associate professor, or assistant professor who are tenured or receiving probationary credit toward tenure, and those administrators in the direct line of responsibility for academic affairs (persons who hold the title director or dean in an academic unit, provost or equivalent officer, [vice presidents/chancellors] chancellor/vice president and president). Administrative staff members not in the direct line of responsibility for academic affairs are members of the faculty only if they also hold faculty appointments. The bylaws of any academic unit may further mandate a minimum percent faculty appointment in that unit for specified faculty privileges, such as voting privileges.

Section 4. Faculty Advisory Committee

Faculty advice and recommendations on University governance are traditionally provided to the administration through standing and ad hoc committees and representation in the senate. In addition, at each campus the faculty shall elect a Faculty Advisory Committee. The committee shall
consist of nine faculty members on the Chicago and Urbana-Champaign campuses, three of whom shall be elected each year. The committee shall consist of seven faculty members on the Springfield campus, two of whom shall be elected each year and the seventh every third year. The three-year terms will commence on the first day of the academic year following the election.

Each campus senate shall determine eligibility for membership on the Faculty Advisory Committee for its campus from among the members of the Faculty Advisory Committee electorate, excluding those who hold administrative appointments. Any eligible person may be nominated as a committee member by a petition signed by three members of the electorate and filed with the clerk or secretary of the senate. The deadline for filing shall be set by each campus senate. The clerk or secretary of the senate shall conduct the election as soon as possible thereafter. The eligible nominees for the number of seats to be filled receiving the highest number of votes shall be declared elected. If vacancies arise between regular elections, the eligible nominee with the next highest number of votes at the most recent election shall be declared a member of the committee. In the absence of any such nominee willing and able to serve, the vacancy shall be filled at the next regular election.

No more than two members of the committee may hold paid appointments in the same college or in the same unit organized independently of a college.

The committee shall elect its own chair at its first meeting of each academic year. The committee shall adopt its rules of procedure, copies whereof shall be sent to all members of the academic staff (as defined in Article IX, Sections 4a and 3c) and to the chancellor/vice president and the president. The committee shall make such reports to the chancellor/vice president, the president, the senate, and the faculty as it deems appropriate at least once a year.

The committees shall provide for the orderly voicing of suggestions for the good of the University, afford added recourse for the consideration of grievances, and furnish a channel for direct and concerted communication between the academic staff (as defined in Article IX, Sections 4a and 3c) and the administrative officers of the University, its colleges, schools, institutes, divisions, and other administrative units on matters of interest or concern to the academic staff (as defined in Article IX, Sections 4a and 3c) or any member of it. Academic staff members who are members of the Professional Advisory Committee electorate shall use the procedures outlined in Section 5 of Article II.

In performing its functions, the committee upon the request of the chancellor/vice president, the president, or any member of the academic staff (as defined in Article IX, Sections 4a and 3c), or upon its own initiative shall make such investigations and hold such consultations as it may deem to be in the best interest of the University. A member of the academic staff (as defined in Article IX, Sections 4a and 3c) or a retired member shall be entitled to a conference with the committee or with any member of it on any matter properly within the purview of the committee.

**Section 5. Professional Advisory Committee**

At each campus, the academic professional staff whose appointments as academic professionals require at least 50 percent (50%) of full-time service shall elect a professional advisory committee. The academic professional staff consists of those staff members on academic appointment whose positions have been designated by the president and the chancellor/vice president as meeting specialized administrative, professional, or technical needs in accordance with Article IX, Sections 3a, 3c, and 4a.

Any member of the professional advisory committee electorate shall be eligible for membership. University-level administration staff shall be members of the electorate of the campus at which their principal office is located. Each chancellor/vice president (or the president in the case of university-level administration staff members) after consultation with the body may identify senior administrative officers to be excluded from the electorate.
Bylaws and articles of procedure covering such matters as name of the body, nomination and
election of members and officers, size of the body, and terms of office shall be developed at each
campus and after approval by the [vice president/chancellor] chancellor/vice president made
available to the members of the electorate.

The body shall provide for the orderly voicing of suggestions for the good of the University,
afford added recourse for the consideration of grievances, and furnish a channel for direct and
concerted communication between the academic professional staff and the administrative officers of
the University, its colleges, schools, institutes, divisions, and other administrative units on matters of
interest or concern to the academic professional staff or any member of it. The body shall report to
the [vice president/chancellor] chancellor/vice president, the president, and the academic
professional staff at least once a year.

In performing its functions, the body upon the request of the [vice president/chancellor]
chancellor/vice president, the president, or any member of the academic professional staff, or upon
its own initiative shall make such investigations and hold such consultations as it may deem to be in
the best interest of the University. Any member or retired member of the academic professional staff
shall be entitled to a conference with the body or with any member of it on any matter properly
within the purview of the body.

ARTICLE III. CAMPUSSES, COLLEGES, AND SIMILAR CAMPUS UNITS

Section 1. The Campus

c. The transfer of any line of work or any part thereof from one campus to another shall be
made on the recommendation of the senates and [vice-presidents/chancellors] chancellors/vice
presidents of the campuses involved, the University Senates Conference, and the president upon
approval by the Board of Trustees.

d. The [vice president/chancellor] chancellor/vice president, under the direction of the
president, shall be the chief executive officer of the campus, as provided in Article I, Section 5.

e. There shall be a provost and vice chancellor for academic affairs or equivalent officer at
each campus who shall be the chief academic officer under the [vice president/chancellor]
chancellor/vice president for the campus and will serve as chief executive officer in the absence of the
[vice president/chancellor] chancellor/vice president.

f. There may be additional vice chancellors with campus-wide responsibilities and other
administrative officers with responsibilities and duties as delegated by the [vice president/chancellor]
chancellor/vice president.

g. Vice chancellors shall be appointed annually by the Board of Trustees on the
recommendation of the [vice president/chancellor] chancellor/vice president and the president. The
[vice president/chancellor] chancellor/vice president shall on the occasion of each appointment seek
the advice of the executive committee of the campus senate. The executive committee may seek the
counsel of other campus bodies in preparing its advice.

Section 2. The College

c. Subject to the jurisdiction of the senates as provided in Article II, Section 1, the college
shall have jurisdiction in all educational matters falling within the scope of its programs, including
the determination of its curricula, except that proposals which involve budgetary changes shall
become effective only when the [vice president/chancellor] chancellor/vice president has approved
them. The college has the fullest measure of autonomy consistent with the maintenance of general
university educational policy and correct academic and administrative relations with other divisions
of the University. In questions of doubt concerning the proper limits of this autonomy between the
d. The transfer of any line of work or any part thereof to or from a college or to or from some other educational or administrative group within a campus shall be made on the recommendation of the appropriate senate and [vice president/chancellor] chancellor/vice president and on approval of the president.

Section 3. The Dean

a. The dean is the chief executive officer of the college, responsible to the [vice president/chancellor] chancellor/vice president for its administration, and is the agent of the college faculty for the execution of college educational policy.

b. The dean shall be appointed annually by the Board of Trustees on recommendation by the [vice president/chancellor] chancellor/vice president and the president. On the occasion of each recommendation, the [vice president/chancellor] chancellor/vice president shall seek the prior advice of the executive committee of the college concerned. The performance of the dean shall be evaluated at least once every five years in a manner to be determined by the college faculty.

c. On recommendation of the dean and the [vice president/chancellor] chancellor/vice president, the president may appoint annually associate or assistant deans as required.

d. The dean shall (1) call and ordinarily preside at meetings of the college faculty to consider questions of college and departmental governance and educational policy at such times as the dean or the executive committee may deem necessary but not less frequently than once in each academic year; (2) formulate and present policies to the faculty for its consideration, but this shall not be interpreted to abridge the right of any member of the faculty to present any matter to the faculty; (3) make reports on the work of the college; (4) oversee the registration and progress of the students in the college; (5) be responsible for the educational use of the buildings and rooms assigned to the college and for the general equipment of the college as distinct from that of the separate departments; (6) serve as the medium of communication for all official business of the college with other campus authorities, the students, and the public; (7) represent the college in conferences, except that additional representatives may be designated by the dean for specific conferences; (8) prepare the budget of the college in consultation with the executive committee of the college; and (9) recommend the appointment, reappointment, nonreappointment, and promotion of members of the academic staff. Regarding appointments, reappointments, nonreappointments, and promotions, the dean shall consult with the appropriate departmental chair(s) and executive committee(s), or department head(s) who shall provide the dean with the advice of the advisory committee or other appropriate committee as specified in the department bylaws. Recommendations to positions on the academic staff shall ordinarily originate with the department, or in the case of a group not organized as a department with the person(s) in charge of the work concerned and shall be presented to the dean for transmission with the dean’s recommendation to the [vice president/chancellor] chancellor/vice president. In case a recommendation from a college is not approved by the [vice president/chancellor] chancellor/vice president, the dean may present the recommendation to the president, and, if not approved by the president, the dean with the consent of the Board of Trustees may present the recommendation in person before the Board of Trustees in session.

Section 4. The School and Similar Campus Units

d. Governance of schools and similar campus units within a college:

(2) The school has the fullest measure of autonomy consistent with the maintenance of general college and university educational policy and with appropriate academic and administrative relations with other divisions of the University. In questions of doubt concerning the proper limits of this autonomy, the school may appeal directly to the dean and the executive
committee of the college and shall be entitled to appeal subsequently to the [vice president/chancellor] chancellor/vice president.

Section 5. The Dean or Director of a School or Similar Campus Unit

a. In a school or similar campus unit independent of a college, the chief executive officer shall be a dean or director appointed annually by the Board of Trustees on the recommendation of the [vice president/chancellor] chancellor/vice president and the president. On the occasion of each recommendation, the [vice president/chancellor] chancellor/vice president shall seek the prior advice of the executive committee of the faculty concerned. Within the school or similar campus unit, the duties of a director or a dean shall be the same as those of the dean of a college. The performance of the dean or director shall be evaluated at least once every five years in a manner to be determined by the faculty of the unit.

b. In a school or similar campus unit included within a college, the chief executive officer shall be a director appointed annually by the Board of Trustees on the recommendation of the dean of the college, the [vice president/chancellor] chancellor/vice president, and the president. On the occasion of each recommendation, the dean shall seek the prior advice of the executive committee of the unit. The director shall (1) call and ordinarily preside at meetings of the school faculty to consider questions of school and subunit governance and educational policy at such times as the director or the executive committee may deem necessary but not less frequently than once in each academic year; (2) formulate and present policies to the faculty for its consideration, but this shall not be interpreted to abridge the right of any member of the faculty to present any matter to the faculty; (3) make reports on the work of the school; (4) have general supervision of the work of students in the school; (5) be responsible for the educational use of the buildings and rooms assigned to the school and for the general equipment of the school as distinct from that of the separate subunits; (6) serve as the medium of communication for all official business of the school with the college, the students, and the public; (7) represent the school in conferences except that additional representatives may be designated by the director for specific conferences; (8) prepare the budget of the school in consultation with the executive committee of the school; and (9) recommend the appointment, reappointment, nonreappointment, and promotion of members of the academic staff. Regarding recommendations of appointments, reappointments, nonreappointments, and promotions of the members of the faculty, the director shall consult with the department’s or subunit’s executive officer who shall provide the director with the advice of the appropriate committee(s). Such recommendations shall ordinarily originate with the subunit or in the case of a group not organized as a subunit with the person(s) in charge of the work concerned and shall be presented to the director for transmission with the director’s recommendation to the dean of the college. The performance of the director shall be evaluated at least once every five years in a manner to be determined by the faculty of the school and college.

ARTICLE IV.

DEPARTMENTS

Section 1. The Department

b. The department has the fullest measure of autonomy consistent with the maintenance of general college and university educational policy and correct academic and administrative relations with other divisions of the University. Should a dispute arise between the department and another unit of the campus concerning the proper limits of this autonomy, the department may appeal for a ruling directly to the dean and the executive committee of the college and when the [vice president/chancellor] chancellor/vice president considers it proper to the [vice president/chancellor] chancellor/vice president, who shall make a decision after appropriate consultation.

Section 2. Department Organized with a Chair

a. The chair shall be appointed annually by the Board of Trustees on recommendation of the [vice president/chancellor] chancellor/vice president and the president after consultation with
the dean of the college and with the executive committee of the department concerned. The
performance of the chair shall be evaluated at least once every five years. As one component of this
evaluation, views shall be solicited from the entire department faculty.

Section 3. Department Organized with a Head

a. The head of a department shall be appointed without specified term by the Board of
Trustees on recommendation by the [vice president/chancellor] chancellor/vice president and the
president after consultation with the dean of the college and all members of the department faculty.
The head may be relieved of title and duties as head of the department by the [vice
president/chancellor] chancellor/vice president on the recommendation of the dean of the college.
The performance of the head shall be evaluated at least once every five years. As one component of
this evaluation, views shall be solicited from the entire department faculty.

Section 4. Change of Departmental Organization

On the written request of at least one-fourth of the faculty of the department, as defined in
Article II, Section 3a(1), and in no case fewer than two faculty members that the form of the
organization of the department be changed, the dean shall call a meeting to poll the departmental
faculty by secret written ballot. The names of those making the request shall be kept confidential by
the dean. The dean shall transmit the results of the vote to the departmental faculty and to the [vice
president/chancellor] chancellor/vice president together with the dean’s recommendation. If a
change of organization is voted, the [vice president/chancellor] chancellor/vice president shall
thereupon transmit this recommendation to the president for recommendation to the Board of
Trustees. Faculty of the department may communicate with the Board of Trustees in accordance with
Article XIII, Section 4 of these Statutes.

ARTICLE V. GRADUATE COLLEGES

Section 1. The Campus Graduate College

c. The faculty of the Graduate College consists of the president, the [vice
president/chancellor] chancellor/vice president, the provost or equivalent officer, the dean, and all
those who on the recommendation of the departments or of other teaching or research divisions have
been approved by the executive committee and the dean of the Graduate College to assume
appropriate academic responsibilities in programs leading to graduate degrees. Other administrative
staff members are members of the faculty of the Graduate College only if they also hold faculty
appointments and have been recommended and approved as provided above.

d. An executive committee shall be the primary advisory committee to the dean of the
Graduate College. It shall advise the dean on the formulation and execution of policies and on other
activities of the Graduate College. The executive committee consists of fourteen members holding
office for staggered two-year terms: eight elected members, four elected annually for two-year terms
by the faculty of the Graduate College and six members, three appointed each year for two-year terms
by the [vice president/chancellor] chancellor/vice president on the recommendation of the dean of
the Graduate College in consultation with the members elected that year. The dean of the Graduate
College is ex officio a member and chairs the committee. When meeting to give advice on the
appointment of the dean, the senior faculty member (in terms of service at the University) on the
executive committee shall be chair and the dean shall not be a member of the committee.

f. On the recommendation of the dean of the Graduate College and the [vice
president/chancellor] chancellor/vice president, the president may appoint annually associate or
assistant deans of the Graduate College as required.

Section 2. Special Units of the Graduate College

a. On the recommendation of the Campus Research Board, the executive committee and
the dean of the Graduate College with approval by the president and the [vice president/chancellor]
chancellor/vice president, the Board of Trustees may create special units of the Graduate College for
the purpose of carrying on or promoting research in areas which are broader than the responsibility
of any one department. Any such unit may be abolished by similar action.

b. Persons shall be appointed to the staff of such special units by the Board of Trustees on
the recommendation of the unit concerned, the dean of the Graduate College, the [vice
president/chancellor] chancellor/vice president, and the president. Appointments of persons who
already have academic rank and title indicative of departmental association shall be made only after
consultation with the department concerned. Appointments which carry academic rank and title
indicative of departmental association of persons who do not already have departmental association
shall be made only after concurrence of the department concerned.

ARTICLE VI. THE CAMPUS LIBRARY

b. The campus library shall be in the charge of the campus librarian who, as the chief
executive officer of the library, is responsible to the [vice president/chancellor] chancellor/vice
president for its administration and service.

d. With the approval of the [vice president/chancellor] chancellor/vice president, the
campus librarian may establish branches on the campus when efficiency in reference work,
circulation, cataloging, ordering, and other matters of library service and administration, and the
general welfare of the campus, college, school, department, or other unit will thereby be promoted.
Appointments to the academic staff of branch libraries established under this subsection and the
advancement of such staff will be recommended to the [vice president/chancellor] chancellor/vice
president with the advice of the executive officer(s) of the unit(s) served by such libraries.

e. The campus librarian shall be appointed annually by the Board of Trustees on the
recommendation of the [vice president/chancellor] chancellor/vice president with the concurrence of
the President of the University. On the occasion of each such appointment, the [vice
president/chancellor] chancellor/vice president shall seek the advice of the library committee of the
campus senate and of the library executive committee. The performance of the campus librarian shall
be evaluated at least once every five years in a manner to be determined by the faculty of the campus
library and the library committee of the campus senate. As part of the evaluation, views shall be
solicited from the library committee of the campus senate, from other concerned faculty, and from the
entire faculty of the campus library.

ARTICLE VII. SPECIALIZED UNITS

Section 1. General Considerations

In addition to the campus units described in the previous Articles, there are special purpose
educational and administrative units whose responsibilities and roles extend substantially beyond
one campus. The organization and mission of such units, including clearly defined lines of
responsibility to University or campus officers, shall be specified in these Statutes, in The General
Rules Concerning University Organization and Procedure, or in such other documents as shall be
deemed appropriate by the president. These specialized units may include but need not be limited to
organizations designated as bureaus, councils, departments, divisions, institutes, and services. The
staffs of these units shall have campus membership and status upon recommendation of the
appropriate [vice president/chancellor] chancellor/vice president or [vice presidents/chancellors]
councillors/vice presidents subject to the Statutes and The General Rules governing the campus
operations.

Section 3. Councils on Teacher Education

a. At each campus engaged in teacher education, there shall be a Council on Teacher
Education composed of the deans and directors of the respective colleges, schools, and similar units at
that campus which offer curricula in the preparation of teachers for the elementary and secondary
ARTICLE VIII. CHANGES IN ACADEMIC ORGANIZATION

Section 3. Formation of New Units

a. Departments. The formation of a new department or similar academic unit within a school or college may be proposed by the faculty or executive officer of that school or college. The president shall submit the proposal for the new unit together with the advice of the faculty of the school or college of each higher unit, taken and recorded by a vote of the faculty by secret written ballot in accordance with the bylaws of that unit, of the appropriate senate, taken and recorded by a vote of the senate, of the appropriate [vice president/chancellor] chancellor/vice president, and of the University Senates Conference to the Board of Trustees for action.

b. Intermediate Units. An academic unit of intermediate character, such as a school organized within a college, may be proposed by the faculty or the executive officer of the higher unit. The president shall submit the proposal for the intermediate unit together with the advice of the higher unit, taken and recorded by a vote of the faculty by secret written ballot in accordance with the bylaws of that unit, of the appropriate senate, taken and recorded by a vote of the senate, of the appropriate [vice president/chancellor] chancellor/vice president, and of the University Senates Conference to the Board of Trustees for action.

c. Colleges and Independently Organized Campus Units. A college or other independently organized campus unit, such as a school, institute, center, or similar campus unit not within a school or college, may be proposed by the appropriate senate or [vice president/chancellor] chancellor/vice president. The president shall submit the proposal for the unit together with the advice of the appropriate senate, taken and recorded by a vote of the senate, of the appropriate [vice president/chancellor] chancellor/vice president, and of the University Senates Conference to the Board of Trustees for action.

d. Units Organized at the University Level. Units organized at the university level, such as institutes, councils, and divisions, may be formed for the development and operation of teaching, research, extension, and service programs which are statewide or intercampus in their scope and which cannot be developed under a campus administration. Such an organization may be proposed by a senate, a [vice president/chancellor] chancellor/vice president, the University Senates Conference, or the president. The president shall submit the proposal for the new organization together with the advice of the appropriate senates, taken and recorded by a vote of each such senate, of the appropriate [vice presidents/chancellors] chancellors/vice presidents, and of the University Senates Conference to the Board of Trustees for action.

e. Campuses. The formation of a new campus may be proposed by the president, by a senate, or by the University Senates Conference. The president shall submit the proposal for the new campus together with the advice of the senates, taken and recorded by a vote of each senate, of the [vice presidents/chancellors] chancellors/vice presidents, and of the University Senates Conference to the Board of Trustees for action. If the proposal is adopted, the University Senates Conference shall serve as an advisory body to the president in developing procedures to implement the action of the board.

ARTICLE IX. ACADEMIC AND ADMINISTRATIVE STAFFS

Section 2. Employment of Relatives

No individual shall initiate or participate in institutional decisions involving a direct benefit (initial employment, retention, promotion, salary, leave of absence, etc.) to a member of the individual’s immediate family. “Immediate family” includes an individual’s spouse, ancestors and descendants, all descendants of the individual’s grandparents, and the spouse of any of the foregoing.
Each [vice president/chancellor] chancellor/vice president shall develop for the approval of the president campus procedures to insure against such conflict of interest.

Section 3. Appointments, Ranks, and Promotions of the Academic and Administrative Staff

a. All appointments, reappointments, and promotions of the academic staff, as defined in Article IX, Section 4a, shall be made by the Board of Trustees on the recommendation of the [vice president/chancellor] chancellor/vice president concerned and the president. All appointments, reappointments, and promotions of the administrative staff shall be made by the Board of Trustees on the recommendation of the [vice president/chancellor] chancellor/vice president concerned if a campus-level officer is involved and the president.

c. The following ranks, and only these ranks, of the academic staff as defined in Article IX, Section 4a, are subject to the provisions of Article X, Section 1: professor, associate professor, and assistant professor. Modifying terms such as “research,” “adjunct,” “clinical,” and “visiting” may be used in conjunction with these academic ranks (e.g., “research professor,” “adjunct assistant professor,” “clinical associate professor,” “visiting professor”); but no appointment for an indefinite term may be made in which a modifying term is used in the academic rank. Furthermore, an appointment in which a modifier is used in the title will not count toward completion of the probationary period, as provided in Article X, Section 1, unless specially recommended by the executive officer of the unit and approved by the dean and by the [vice president/chancellor] chancellor/vice president or an officer authorized to act for the [vice president/chancellor] chancellor/vice president.

d. Recommendation to positions on the academic staff shall ordinarily originate with the department or in groups not organized as departments with the officers in charge of the work concerned and shall be presented to the dean of the college for transmission with the dean’s recommendation to the [vice president/chancellor] chancellor/vice president. Whenever the appointment or promotion of members of the academic staff is involved, the dean before making a recommendation shall consult the chair or the head of the department after confirming that intra-departmental consultation procedures have been satisfied; if the college has no departments, the dean shall consult the executive committee of the college. If the appointment involves a person who may be expected to offer courses carrying graduate credit, the dean of the college shall consult the dean of the Graduate College, who shall have the right to make an independent recommendation to the [vice president/chancellor] chancellor/vice president, and to the president.

Section 4. Principles Governing Employment of Academic and Administrative Staffs

a. The academic staff which conducts the educational program shall consist of the teaching, research, scientific, counseling, and extension staffs; deans and directors of colleges, schools, institutes, and similar campus units; editors, librarians, and such other members of the staff as are designated by the president and the [vice presidents/chancellors] chancellors/vice presidents.

e. The academic year shall consist of that period of the year so determined by the appropriate senate and approved by the appropriate [vice president/chancellor] chancellor/vice president, the president, and the Board of Trustees.

Section 5. Services Rendered the University

a. No person employed on a full-time basis on the instructional or administrative staffs of the University shall be assigned any other university work which does not naturally come within the scope of that person’s duties and for which additional compensation is to be paid without the prior approval of the [vice president/chancellor] chancellor/vice president.

c. Full-time employees shall not receive compensation for services with the University in excess of a normal schedule except for a reasonable amount of instruction in continuing education and public service programs or for the grading of special examinations (outside regular course work) stipulated by the University, all to be done at a time that does not conflict with other university
duties. Exceptions may be made to this rule in special cases which are approved by the dean of the college of which the employee is a member provided that if such additional payments exceed a nominal amount the advance approval of the [vice president/chancellor] chancellor/vice president shall be secured. These exceptions shall be held to a minimum.

Section 6. Severe Sanctions Other Than Dismissal for Cause for Members of the Faculty

a. Severe sanctions other than dismissal for cause may be imposed on a member of the faculty, as defined in Article II, Section 3a(1) of the Statutes, provided that procedures on a campus adopted by the campus [vice president/chancellor] chancellor/vice president in consultation with that campus senate are followed. In all cases, the [vice president/chancellor] chancellor/vice president or the [vice president/chancellor] chancellor/vice president’s designee shall exercise the duties assigned to the President for academic staff who are members of campus units, and in all cases the process to be followed will be that of the campus on which the unit resides.

b. Campus procedures shall include, at a minimum,

(5) The opportunity for the faculty member to file an appeal with the [vice president/chancellor] chancellor/vice president within 20 days following the provost's or equivalent officer’s decision to impose sanctions,

(6) An appeal process encompassing both substantive and procedural objections, and

(7) A process wherein the [vice president/chancellor] chancellor/vice president's decision on the merits of an appeal is final.

Section 7. Leaves of Absence for Members of the Faculty

a. On the recommendation of the head or chair of a department with the concurrence of the dean of the college or on recommendation of the dean or director of an independent campus unit and subject to approval by the [vice president/chancellor] chancellor/vice president, the president, and the Board of Trustees a member of the faculty who has the rank of professor, associate professor, or assistant professor and who has served the University for the periods indicated below on full-time appointment as an assistant professor or in higher rank since the faculty member’s original appointment or since the termination of that faculty member’s last leave on salary is eligible to apply for and may be granted a sabbatical leave of absence with pay for the purpose of study, research, or other pursuit, the object of which is to increase the faculty member’s usefulness to the University. The following options are available:

c. Service credit for leave of absence with pay is not cumulative unless otherwise provided for in special cases. Each person who has been on leave of absence shall on the termination of the leave make a report through the usual official channels of communication to the [vice president/chancellor] chancellor/vice president concerning the nature of the studies, research, or other work undertaken during the period of absence.

e. Leaves of absence granted in accordance with the foregoing terms and conditions, with the privileges pertaining thereto, are given to members of the faculty primarily for the purpose of enabling them to acquire additional knowledge and competency in their respective fields. No one to whom a leave of absence with pay has been granted shall be permitted while on such leave to accept remunerative employment or engage in professional practice or work for which pecuniary compensation is received. This prohibition, however, shall not be construed to forbid a faculty member while on leave from giving a limited number of lectures or doing a limited amount of work. But, in such cases, the approval of the [vice president/chancellor] chancellor/vice president to the giving of the lectures or the doing of other work shall be required. Nor shall the prohibition be interpreted to forbid the acceptance by a faculty member, while on leave, of a scholarship or fellowship carrying a stipend for the purpose of study, research, or scientific investigation or the acceptance of a grant of money made for such purposes, provided that the acceptance of the grant...
does not impose on the recipient duties and obligations the performance of which would be incompatible with the pursuit of the general purpose for which leaves of absence are granted.

g. Leaves of absence without pay. On the recommendation of the head or chair of a department with the concurrence of the dean of the college or on the recommendation of the dean or director of an independent campus unit, a member of the faculty may be granted a leave of absence without pay by the [vice president/chancellor] chancellor/vice president for a period of one year or less. Such a leave may be renewed in special circumstances ordinarily for not more than one year. As recommended and agreed upon in advance, time spent on a leave of absence without pay under circumstances which allow for the pursuit of academic activities ordinarily counts toward the probationary period of a faculty member on definite tenure, while time spent on a leave of absence without circumstances which do not allow for the pursuit of academic activities does not ordinarily count toward the probationary period of a faculty member on definite tenure. As recommended and agreed upon in advance, time spent on a leave of absence without pay under circumstances which do not provide service to this University does not ordinarily count in establishing eligibility for a sabbatical leave with pay.

Section 9. Privileges of Retired Members of the Academic Staff

a. A retired staff member who is provided with research assistance shall at the end of each academic year report to the [vice president/chancellor] chancellor/vice president, in at least general terms, on the work accomplished during the year. In no case may a research assistant be provided to a retired staff member for a longer period than one year at a time and such assistant may be continued only if the annual report of work shows progress or promise.

b. With the approval of the department head or chair and of the dean of the Graduate College and of the [vice president/chancellor] chancellor/vice president, a retired faculty member may offer conferences with graduate students if such retiree had offered similarly related graduate courses before retirement.

Section 11. Employment of Academic Professional Staff

6. Excepted from the above provisions are the following administrative officers: the President of the University; [vice presidents/chancellors] chancellors/vice presidents, provosts or equivalent officers, and vice chancellors; the officers of the Board of Trustees who are University employees; other university officers; and the deans, directors, heads, and chairs of academic units. Academic professional staff whose title includes “visiting,” “acting,” “interim,” or “adjunct” are also excepted from the above provisions.

Section 12. Dismissal of Academic Staff with Multi-Year Appointments Under Article X, Section 1(a), Paragraphs (6) and (7)

a. Members of the academic staff with multi-year appointments, as defined under Article X, Section 1(a), Paragraphs (6) and (7), of the Statutes, may be dismissed for cause prior to the conclusion of the multi-year appointment in accordance with campus procedures, which shall be adopted by each [vice president/chancellor] chancellor/vice president in consultation with the applicable campus senate. In all cases, the [vice president/chancellor] chancellor/vice president or the [vice president/chancellor] chancellor/vice president’s designee shall exercise the duties assigned to the president for academic staff who are members of campus units, and in all cases the process to be followed will be that of the campus on which the unit resides.

ARTICLE X. ACADEMIC FREEDOM AND TENURE

Section 1. Tenure of Academic Staff

a. Except under unusual circumstances evidenced by a special written agreement approved by the President of the University and the appointee, the tenure status for the academic
ranks of professor, associate professor, and assistant professor shall be as provided in this section. The
parts of Article X, Sections 1a and 1b, hereof relating to the probationary period or indefinite tenure
do not apply to academic ranks other than those mentioned in the preceding sentence; nor to
appointments at any rank which involve no salary or obligation to render services; nor to
appointments for fifty percent (50%) or less of full-time service at ranks other than professor or
associate professor; nor to appointments for less than seventy-five percent (75%) of full-time service
during any period when the appointee is a candidate for a degree at this University.

In the case of academic staff positions authorized in Article IX, Sections 3c and 4a other than
appointments at the rank of professor, associate professor, assistant professor, dean, director,
department head, and department chair, appointments shall be for not longer than the terms specified
in this Section. Contracts shall be renewable at the discretion of the hiring unit. Except as provided in
Sections 7 and 8, below, notice of nonreappointment is not required. Dismissal prior to the end of the
contract term shall be governed by Article IX, Section 12.

Each [vice president/campus chancellor] chancellor/vice president shall, with the advice and consent
governed by this Section. Such implementing procedures shall include, at a minimum, (i) a binding
ceiling, on a campus-wide basis, on the proportion of multi-year contract appointments to the sum of
multi year contract appointments and appointments that are tenured or earning probationary credit
toward tenure; (ii) assignment of oversight responsibility to an appropriate campus senate committee;
and (iii) the procedures for dismissal required under Article IX, Section 12(b), above.

b. Upon the completion of a probationary period as hereafter defined, any reappointment shall be for
an indefinite term, subject to the following:

(1) An appointee receiving a first contract for more than fifty percent (50%) of full-time service at this
University as assistant professor enters a probationary period not to exceed seven academic years of
service except when, by special written agreement between the appointee, the unit administrator and
the [vice president/campus chancellor] chancellor/vice president, the appointee is granted a one-year
interruption of the probationary period before the year in which a decision on the appointment to
indefinite tenure is expected to be made. Ordinarily no more than two such interruptions will be
granted. Prior academic service at other academic (or equivalent) institutions may be counted up to a
maximum of three years toward the fulfillment of the probationary period. The amount of any such
service counted may be negotiated as may other terms of the appointment and shall be stated in the
first appointment contract, as provided for all contracts for definite terms in subparagraph 1b(5)
below. An initial appointment that begins after the eighth week of the academic year ordinarily does
not count toward the probationary period of a faculty member on definite tenure nor does it
ordinarily count as service in establishing eligibility for a sabbatical leave with pay, unless
recommended and agreed upon in advance.

ARTICLE XI. STUDENT AFFAIRS AND DISCIPLINE

Section 1. Student Affairs

b. Upon recommendation of the [vice president/chancellor] chancellor/vice president and
the president, the Board of Trustees may appoint annually a vice chancellor or other officer who shall
have general supervision over those services provided on that campus to assist students in their
personal and social development. The responsibility and authority of this officer shall be determined
by the [vice president/campus chancellor] chancellor/vice president. On the occasion of each
appointment of any such officer, the [vice president/chancellor] chancellor/vice president shall seek
the advice of the executive committee of the campus senate. The executive committee shall ensure the
opportunity for substantial student involvement in the development of its advice.
ARTICLE XII. RESEARCH AND PUBLICATION

Section 1. Campus Research Board

a. The Campus Research Board shall consist of eight to twelve members appointed by the [vice president/chancellor] chancellor/vice president after consultation with the vice chancellor responsible for research, the dean of the graduate college, and with the leadership of that campus’s senate. The vice chancellor responsible for research shall chair the committee. The appointment process to and membership on the Campus Research Board may differ in campuses without a graduate college.

b. The functions of the board include: (1) making recommendations concerning policies for distribution of research board funds; (2) making assignments of research board funds to individual and group research projects; (3) advising the [vice president/chancellor] chancellor/vice president and the vice chancellor responsible for research on any other matters submitted to the board.

ARTICLE XIII. GENERAL PROVISIONS

Section 1. Exchange Professors

On the recommendation of the head or the chair of a department and with the approval of the dean, the [vice president/chancellor] chancellor/vice president, the president, and the Board of Trustees, a professor, associate professor, or assistant professor may be permitted for a period of not more than one year to exchange positions with a professor of approximately equal rank in another university provided the arrangement does not involve substantial increase in the cost of instruction. The professor with whom the exchange is made shall during the period of service to this University be subject to the rules governing appointments and conditions of service applicable to regular members of the faculty.

Section 2. Privileges for Scholars from Other Universities

The [vice presidents/chancellors] chancellors/vice presidents of the University may extend the privilege of working without charge in the various laboratories or libraries of the respective campus to members of the faculties of other colleges or universities, provided that they are recognized as authorities in their respective fields and come to the campus with written credentials from the faculties of their institutions or from their governments asking that they be received as guests.

Section 3. Annual Reports

On or before the first day of September in each year, each dean and director and the chief executive officer of each department or equivalent unit on each campus shall make to the [vice president/chancellor] chancellor/vice president an annual report, treating fully the work of the college, school, institute, division, or department. Any of these officers may make reports or advance suggestions at any time and shall report to the [vice president/chancellor] chancellor/vice president and to the president whenever requested to do so. Officers of the university-level administration and [vice presidents/chancellors] chancellors/vice presidents shall make such reports as the president shall require.

Section 4. Reports and Communications

d. All communications from members of the staff to be presented as part of the agenda at a meeting of the Board of Trustees or transmitted to the Board of Trustees or any committee thereof shall first be presented to the [vice president/chancellor] chancellor/vice president where appropriate and to the president for their examination, comment, and recommendation. Whenever appropriate, the staff member shall be informed of all such reactions and may respond to them.