

**AGENDA**

Senate of the Urbana-Champaign Campus

April 30, 2018

3:10 – 5:15 pm

**ILLINI UNION – ILLINI ROOM A**

- I. Call to Order** – Chancellor Robert Jones
- II. Approval of Minutes** – April 2, 2018
- III. Senate Executive Committee Report** – SEC Chair Bettina Francis
- IV. Chancellor's Remarks** – Chancellor Robert Jones
- V. Questions**
- VI. Consent Agenda**

*Consent Agenda items are only distributed online at <http://www.senate.illinois.edu/20180430agenda.asp>*

EP.18.31	Proposal to Establish the Bachelor of Science in Information Sciences from the School of Information Sciences	Educational Policy <i>G. Miller, Chair</i>
EP.18.52	Proposal to establish a combined Bachelor of Science/Master of Science in Aerospace Engineering from the College of Engineering	Educational Policy <i>G. Miller, Chair</i>
EP.18.57	Establish a New Joint Degree Program for the MA in Russian, East European, and Eurasian Studies, College of Liberal Arts and Sciences, and MS in Library and Information Science, School of Information Sciences	Educational Policy <i>G. Miller, Chair</i>
EP.18.58	Proposal from the College of Applied Health Sciences to rename and revise the MS in Public Health	Educational Policy <i>G. Miller, Chair</i>
EP.18.59	Proposal from the College of Agricultural, Consumer and Environmental Sciences to revise the concentration requirements for six of the concentrations within the Bachelor of Science in Agricultural and Consumer Economics	Educational Policy <i>G. Miller, Chair</i>
EP.18.60	Proposal from the College of Agricultural, Consumer and Environmental Sciences to revise the core major requirements for the Bachelor of Science in Agricultural and Consumer Economics	Educational Policy <i>G. Miller, Chair</i>
EP.18.61	Proposal from the College of Agricultural, Consumer and Environmental Sciences to establish two concentrations, eliminate two existing concentrations, rename and revise one existing concentration, revise one existing concentration, and revise the core major requirements for the Bachelor of Science in Natural Resources and Environmental Sciences	Educational Policy <i>G. Miller, Chair</i>
SC.18.10	2018-2019 Senate and Senate Executive Committee (SEC) Meeting Schedule	Senate Executive Committee <i>B. Francis, Chair</i>

**VII. Proposals (enclosed)**

EP.18.63	Proposal from the Office of the Vice Chancellor for Research to Establish the Cancer Center as a Temporary Center	Educational Policy <i>G. Miller, Chair</i>	1
SP.18.09	Proposed Revision to the <i>Constitution</i> , Article III, Section 3 – to expand representation of Academic Professionals from seven to ten Senate seats (Second Reading; Action)	University Statutes and Senate Procedures <i>S. Gilmore, Chair</i>	11
SP.18.11	Revision to the <i>Bylaws</i> , Part D.11 – Senate Committee on Faculty and Academic Staff Benefits	University Statutes and Senate Procedures <i>S. Gilmore, Chair</i>	15
SP.18.13	Proposed Revision to the <i>General Rules</i> , Article III – Intellectual Property	University Statutes and Senate Procedures <i>S. Gilmore, Chair</i>	17
RS.18.03	Resolution For Equal Enforcement of the State Farm Center and Memorial Stadium’s No Protest Policy, Including Continuing Appearances of an Unauthorized Chief Illiniwek at UIUC Sporting Events	J. Rosenstein	27

**VIII. Call for Honorary Degree Award Nominations – Matthew Wheeler, Chair of Honorary Degrees****IX. Reports for Information (enclosed)**

EP.18.62	Report of Administrative Approvals through April 9, 2018	Educational Policy <i>G. Miller, Chair</i>	29
EP.18.64	Report of Administrative Approvals through April 23, 2018	Educational Policy <i>G. Miller, Chair</i>	31
SC.18.11	Review of the Conflicts of Commitment and Interest (COCI) Policy	Senate Executive Committee <i>B. Francis, Chair</i>	33

**X. New Business**

*Matters not included in the agenda may not be presented to the Senate without concurrence of a majority of the members present and voting. Items of new business may be discussed, but no action can be taken.*

**XI. Adjournment**

**UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE**April 2, 2018  
Minutes

A regular meeting of the University of Illinois Senate of the Urbana-Champaign Campus was called to order at 3:12 pm in the Illini Union Ballroom with Chancellor Robert Jones presiding and Professor Emeritus H. George Friedman, Jr. serving as Parliamentarian.

**APPROVAL OF MINUTES**

04/02/18-01 The minutes from March 5, 2018 and March 12, 2018 were approved as distributed.

**SENATE EXECUTIVE COMMITTEE REPORT**

Bettina Francis (LAS), a faculty senator and Chair of the Senate Executive Committee (SEC), welcomed everyone. On behalf of John Kindt, Chair of the Senate Committee on Faculty and Academic Staff Benefits, Francis noted that May is the upcoming benefits choice period and urged senators to visit the CMS (Illinois Department of Central Management Services) website in advance of the benefit choice period: <https://mybenefits.illinois.gov/>

Chair Francis also noted that the Faculty and Staff Emergency Fund Drive will begin this month. An email from Elyne Cole, Associate Provost for Human Resources, will be sent soon announcing the fund drive. Francis encouraged senators to donate to this important fund that helps fellow employees in their time of urgent need.

**CHANCELLOR'S REMARKS**

Chancellor Jones reported that James Anderson (College of Education Dean) and Danita Brown Young (Vice Chancellor for Student Affairs) will co-chair the search committee for the Vice Chancellor for Diversity, Equity, and Inclusion position. The creation of this position came out of the external diversity review lead by Rusty Barstow.

Provost Cangelaris announced the first Director of the Seibel Design Center, Rachel Switzky. On April 24, Tom Seibel and Director Switzky will be here for the groundbreaking of the Design Center.

The Illini Success survey has helped collect data on the success of our graduates. This robust survey collects data on graduates' first destination along with starting salary information. More than 70% students responded to the survey creating a very strong data set. Nearly 9 out of 10 graduates accepted a job, entered graduate school, or began a volunteer position within 6 months after graduation. The average starting salary was almost \$60,000. Of the jobs accepted, 7 out of 10 were in the state of Illinois.

President Killeen and the three Chancellors will be attending the Senate appropriation hearings in Springfield and also the House hearings. The UI system is requesting \$681 million which is a slight increase from the current fiscal year. There is an unmatched return on tax dollars based on what the University of Illinois contributes to the state.

There will be a Strategic Planning meeting tomorrow at noon at the Alice Campbell Alumni Center. All of the task force materials will be posted on <https://strategicplan.illinois.edu>. Jones anticipates a robust discussion about the future of the University.

The first of two Critical Conversations will occur on April 10 to discuss Native American Imagery and will be held in the Student Dining and Residential Programs (SDRP) building. This Conversations will feature two speakers with differing viewpoints. Audience members will hear both speakers and then break into small moderated working groups. Jones hopes to develop some strategies on moving forward from this divisive issue. The space can only

accommodate about 180 guests. The deadline to sign-up to attend this event is this Wednesday. Sign-up is available online at: <https://go.illinois.edu/conversationsignup>.

The second Critical Conversation to discuss free speech will be held at the Coldwell Playhouse inside the Krannert Center for Performing Arts.

Jones discussed his International trip to sub-Saharan Africa. In Malawi, the University of Illinois is assisting with corn and soybean crops and teaching men and women there to grow food and sustain themselves. This trip provides an opportunity to think strategically about partnerships and the potential global impact.

#### **QUESTIONS/DISCUSSION**

In response to a question about the GEO (Graduate Employee's Organization) negotiations, Jones replied that there are things that can be done better in the future, but is happy with the outcome. There seemed to be some miscommunication about topics such as tuition waivers. The elimination of tuition waivers was never considered. We need to be able to have these intense discussions, but remember that we are all still part of the same community.

A questions was raised about the Board of Trustees (BOT) mandated criminal background checks. Associate Chancellor Public Affairs Robin Kaler noted that information on background checks was recently reported to the BOT. Kaler will distribute the information to senators through Clerk Roether.

#### **CONSENT AGENDA**

Hearing no objections, the following proposals were approved by unanimous consent.

- 04/02/18-02 EP.18.28\* Proposal to Establish the Master of Sustainable Urban Design as a Self-Supporting Program from the College of Fine and Applied Arts
- 04/02/18-03 EP.18.40\* Proposal to Revise the BALAS in Geography and Geographic Information Science (GIS) and Establish the BSLAS in GIS from the College of Liberal Arts and Sciences
- 04/02/18-04 EP.18.42\* Proposal to Revise the MA in Portuguese and Establish the concentration in Brazilian Studies within the MA in Portuguese from the College of Liberal Arts and Sciences
- 04/02/18-05 EP.18.51\* Proposal to Transfer and Revise the existing graduate degree program leading to an M.S. with a major in Plant Biotechnology with a Professional Sciences Master's concentration, from the Department of Plant Biology, College of LAS into the Department of Crop Sciences, College of ACES
- 04/02/18-06 EP.18.53\* Proposal to establish the undergraduate minor in Musical Theatre from the College of Fine and Applied Arts

#### **PROPOSALS**

- 04/02/18-07 EP.18.54\* Proposal to transfer the Intensive English Institute from LAS to IIP from the College of Liberal Arts and Sciences and Illinois International Programs

Proposal EP.18.54 was requested to be removed from the Consent Agenda for discussion and action. Gay Miller, Chair of the Senate Committee on Educational Policy (EPC), introduced and moved approval of proposal EP.18.54. Miller then invited Kristen Bouton from the Intensive English Institute (IEI) and one of the proposal sponsors, to speak about the proposal.

On behalf of the EPC, Chair Miller made a motion to add the following amendment to the proposal:

"EP.18.54 is approved with the understanding that, in accordance with *Standing Rule 13*, prior to any decision by IIP and GET to terminate IEI, a proposal will be presented to the Senate Committee on Educational Policy and to the Senate."

- 04/02/18-08 By i-clicker, the amendment to proposal EP.18.54 was approved with 103 in favor , 3 opposed, and 6 abstentions.
- 04/02/18-09 By i-clicker, the amended EP.18.54 proposal was approved with 112 in favor, 4 opposed, and 5 abstentions.
- 04/02/18-10 EC.18.04\* Proposed Revisions to the *Election Rules for the Faculty Electorate*  
On behalf of the Senate Committee on Elections and Credentials, Chair Graber introduced and moved approval of proposal EC.18.04.
- 04/02/18-11 By i-clicker, proposal EC.18.04 was approved with 115 in favor, 4 opposed, and 5 abstentions.
- 04/02/18-12 SP.14.06\* Proposed Revisions to the *Statutes*, Article XIII, Section 8 – to Authorize the University Senates Conference to Initiate Revisions to the *Statutes*  
On behalf of the Senate Committee on University Statutes and Senate Procedures (USSP), Chair Gilmore introduced and moved approval of proposal SP.14.06. Burbules (EDUC) noted that this proposal is very similar to what the Senate approved this past fall, but in a slightly different format.
- 04/02/18-13 By i-clicker, proposal SP.14.06 was approved with 117 in favor, 5 opposed, and 1 abstention.
- 04/02/18-14 SP.18.09\* Proposed Revision to the *Constitution*, Article III, Section 3 – to expand representation of Academic Professionals from seven to ten Senate seats  
On behalf of the USSP Committee, Chair Gilmore introduced proposal SP.18.09 for a first reading. A couple of comments were made in support of the proposal. As a first reading, no vote was taken.

**REPORTS FOR INFORMATION**

- 04/02/18-15 EP.18.55\* EPC Report of Administrative Approvals through March 12, 2018
- 04/02/18-16 EP.18.56\* EPC Report of Administrative Approvals through March 26, 2018
- 04/02/18-17 SC.18.09\* EPC Report on the on the March 15, 2018 Board of Trustees Meeting

**ADJOURNMENT**

The meeting was adjourned at 4:02 pm.

Jenny Roether, Senate Clerk

\*Filed with the Senate Clerk and incorporated by reference in these minutes.  
A video recording of these proceedings can be found at <https://go.illinois.edu/senate>





---

**Proposal to the Senate Educational Policy Committee  
(Programs Housed Outside of Departments)**

**PROPOSAL TITLE:** Establish the Cancer Center as a Temporary Center

**SPONSOR:**

Rohit Bhargava  
Director, Cancer Center  
Founder Professor of Engineering  
EMAIL: [rxb@illinois.edu](mailto:rxb@illinois.edu)  
PHONE: 265-6596

**COLLEGE CONTACT:**

Susan A. Martinis  
Interim Vice Chancellor for Research  
EMAIL: [martinis@illinois.edu](mailto:martinis@illinois.edu)  
PHONE: 333-0034

**BRIEF DESCRIPTION:**

This proposal is to establish the Cancer Center as a Temporary Center, moving reporting lines for the Cancer Center at Illinois, now officially part of the Interdisciplinary Health Sciences Institute, to the Office of the Vice Chancellor for Research.

The Director of the Cancer Center will report to the Vice Chancellor for Research.

**JUSTIFICATION:**

The Cancer Center at Illinois has grown from a small community of researchers in 2010 to a well-organized group of more than 75 faculty from 15 departments. In July 2017, the University formally declared a cancer center under the Interdisciplinary Health Sciences Institute. The Cancer Center is now pursuing the highly coveted formal NIH designation as a Basic Science Cancer Center. According to the NCI, the "[Cancer Centers Program](#) is one of the anchors of the nation's cancer research effort. There are currently 69 NCI-Designated Cancer Centers, located in 35 states and the District of Columbia, that form the backbone of NCI's programs for studying and controlling cancer." Of the 69, only seven are Basic Science Cancer Centers, including those at MIT and Purdue. To achieve this designation, the reporting line needs to be adjusted such that the Cancer Center Director reports to the VCR.

The Cancer Center is a research unit that spans multiple colleges and schools, and involving faculty and staff from a range of academic disciplines. This makes it similar to existing campus-wide Interdisciplinary Research Institutes that report to the Office of the Vice Chancellor for Research (OVCR).

#### *About the Cancer Center*

The Cancer Center at Illinois unites world-class faculty who uncover fundamental knowledge, innovate new technologies, and enable cancer-free lives. This work is clearly aligned with the University's research, teaching, outreach, and economic development missions. Specifically, the Cancer Center works to:

- Inspire groundbreaking cancer research and technological advances using unique campus strengths in science and engineering.
- Mobilize faculty, staff, and students across campus to focus attention on cancer.
- Catalyze large multidisciplinary projects—including program project grants, training programs, and centers.
- Innovate new educational programs for students, professionals, and the general public.
- Forge multidisciplinary ties on campus to enhance research and education.
- Partner with clinical centers and companies to drive translation and achieve economic impact while building alliances with external partners.

The next step in the Cancer Center's evolution is to seek designation from the National Cancer Institute (NCI) – a powerful endorsement that will open doors to many new NIH funding opportunities, strongly aligning with the University's strategic objective of growing our NIH-sponsored research portfolio. The NCI recognition also leads to an increase in stature of a University's health related efforts broadly and an increase in philanthropic funding as well. A campus-level reporting structure is a requirement of NCI Cancer Center application. Therefore, we request to formalize the Cancer Center reporting structure to the OVCR by establishing it as a temporary center.

#### **BUDGETARY AND STAFF IMPLICATIONS:**

The Cancer Center's budget is currently part of IHSI, supported by the Beckman Institute, College of Engineering and Department of Bioengineering. Staff in OVCR are preparing to transition the budget. The present MoU for support among these diverse units for the Cancer Center ends in December 2018. At that time, the OVCR will provide the necessary funding to support the Cancer Center. The NCI designation will be accompanied by additional operating funds (at least \$1.2 million direct costs per year).

#### **1) Resources for programs housed outside of a unit**

##### **a. Include an organizational chart, which outlines the organizational structure of the proposed program, reporting lines, position titles, and anticipated personnel at program launch (denoting %FTE for tenure track and non-TT faculty).**

The Director of the Cancer Center will report to the VCR. The Director, Rohit Bhargava, has been instrumental in developing the concept of a campus-wide cancer center. He will continue to be responsible for the overall mission, activities, and budget, in close consultation with the VCR, Cancer Center Steering Committee, and Staff.



Tenure-track faculty are part of the Cancer Center, either with administrative (not academic) appointments, (i.e., director), or as 0%-time faculty affiliates.

The proposed organizational chart is structured as follows:

**CHANCELLOR**

Vice Chancellor for Research

CANCER CENTER DIRECTOR

- Deputy Director
- Program Leads
- Associate Director for Administration
- Administrative Support
- Steering Committee

Groups that provide guidance and input to the Cancer Center Director include:

- Internal Advisory Committee (currently being formed)
- Executive Advisory Committee (national cancer center leaders)

Additionally, the OVCR provides the following support for the Cancer Center:

- Operations
- HR
- Finance
- Communications
- Advancement

**b. Provide all relevant MOA's and/or MOU's, which should outline all necessary financial agreements, and the roles and responsibilities of each participating unit in the proposed program.**

See attached

**c. Describe the physical home of the program, including long-term plans for new facilities, if applicable.**

The Cancer Center at Illinois is housed in newly renovated space in the Beckman Institute. Beckman has contributed at least \$ 60,000 and other support in terms of space, staff assistance and planned renovations up to \$ 3 million, and is a full partner in ensuring the success of the Center. We envisage a growth model similar to that of the National Center for Supercomputing Applications (NCSA), which was housed in the BI until external support enabled buildings and new facilities. We anticipate that philanthropic support will allow us first to add facilities within the BI as needed by the cancer center and, eventually, grow into a new building many years in the future.

**d. Describe how critical academic functions such as admissions and student advising are managed.**

The Cancer Center does not directly offer degrees but instead supports several programs to prepare the next generation of pioneers in cancer research. The Cancer Center's educational programs provide transformative learning experiences, including research opportunities, fellowships, workforce development and advocacy. They include:

- High School Programs (ResearchHStart, an 8-week, cancer-focused research and career development experience)
- Undergraduate Programs (Cancer Scholars Programs, an interdisciplinary educational experience that exposes students to grand challenge research early in their academic careers)
- Graduate Programs (TiMe, a training program supported by the NIH, and C\*STAR, a training program offered in collaboration with Carle Foundation Hospital)
- Post-doctoral Programs (Beckman Fellows spend several years conducting independent, interdisciplinary, oncology-related translational research)

Presently, Prof. Bhargava is the PI and directs each of these programs. We anticipate that an Associate Director for education within the center and full-time, professional staff dedicated staff to managing these programs will be added. Currently, one FTE is devoted to these programs.

**e. Describe how interdisciplinary governing councils or committees are composed, selected and maintained.**

The Cancer Center Steering Committee includes 13 faculty members from 11 departments. Committee members represent the diverse spectrum of cancer research across campus, including the physical, life, and social and behavioral sciences, as well as engineering.

The External Advisory Committee (EAC) includes 14 leaders from the national cancer research community. This group meets at least once per year on campus and provides input and guidance for Cancer Center activities that will support the submission of Cancer Center Support Grant for NCI designation. The review report of this committee is essential to the submission for NCI designation. Their recommendations are carefully considered, point by point, by the NIH and in the review process.

**f. Outline the reporting process that allows faculty to document their involvement in the program and the merit of their contributions to the program to their home unit(s).**

The Director of the Cancer Center will meet monthly with VCR, as do other institute directors. The OVCR is providing support for Cancer Center communications activities, including website maintenance, weekly email digest, and publicity for educational programs and community building.

The cancer center support grant (CCSG) requires extensive documentation of participation, and the contributions of members are carefully enumerated. Cancer Center staff are required to track participation by member faculty at each event and compile reports annually to be assessed by the EAC.

**g. Include the phase-down plan for the program once it is no longer needed.**

Launching an NCI-designated Cancer Center creates a foundation for future growth in the biomedical sciences. The NCI designation is an NIH grant that is renewed every 5 years once attained. If the grant is not renewed, the University loses NCI designation. At that point, either the cancer center can be phased out or a renewed effort can be made to regain the designation.

## **2) Resource Implications**

### **a. Please address the impact on faculty resources including the changes in numbers of faculty, class size, teaching loads, student-faculty ratios, etc.**

Per org chart, existing faculty will fill roles through occasional buy out of teaching as needed for individual positions. Currently, only the Director is bought out of teaching for one semester.

### **c. Please address the impact on the University Library** *(A letter of estimated impact from the University Librarian must be included for all new program proposals. If the impact is above and beyond normal library business practices, describe provisions for how this will be resourced.)*

None above normal since the center includes existing university researchers.

### **d. Please address the impact on technology and space** (e.g. computer use, laboratory use, equipment, etc.)

Technology - None above normal since the center includes existing university researchers.

Space – space (~10,000 sq ft) has been allocated by the Beckman Institute to house the offices and shared resources of the cancer center.

### **DESIRED EFFECTIVE DATE:**

**May 31, 2018**

**CLEARANCES:** (Clearances should include signatures and dates of approval. **These signatures must appear on a separate sheet.** If multiple departments or colleges are sponsoring the proposal, please add the appropriate signature lines below.)

Signatures:



\_\_\_\_\_  
Unit Representative: Rohit Bhargava

April 11, 2018

\_\_\_\_\_  
Date:



\_\_\_\_\_  
College Representative: Susan Martinis

April 11, 2018

\_\_\_\_\_  
Date:

**Memorandum of Understanding**  
**Among the Interdisciplinary Health Sciences Initiative, the College of Engineering,**  
**and the Department of Bioengineering**  
**For the development and establishment of a Cancer Center at the University of Illinois at Urbana-Champaign**

**Purpose**

The Interdisciplinary Health Science Initiative (IHSI), the College of Engineering, and the Bioengineering Department enter into this Memorandum of Understanding (MOU) to support the development of a Cancer Center at the University of Illinois at Urbana-Champaign campus. As described in the accompanying Letter of Intent, the support is for: 1) development of a Cancer Center at the University of Illinois at Urbana-Champaign; and 2) appointment of Rohit Bhargava, PhD as the Director initially of the Cancer Community @ Illinois, and then, upon obtaining official campus approval, including through the Academic Senate, of the establishment of the Illinois Cancer Center, as the Director of the Cancer Center. This agreement will be effective January 15, 2016 for a period of three years.

**Support**

The following annual commitments have been made in support of the Cancer Community/Center:

Commitment (Years 1, 2, and 3)

<b>Unit/Department</b>	<b>Amount of funds committed</b>
College of Engineering	<ul style="list-style-type: none"><li>• \$6,500 toward the Director Stipend</li><li>• Salary support for 0.25 FTE of Administrative Support personnel for the Director</li><li>• \$25,000 toward management of ResearchHStart program</li></ul>
Department of Bioengineering	<ul style="list-style-type: none"><li>• \$6,500 toward the Director Stipend</li><li>• Salary support for 0.75 FTE of Administrative Support personnel for the Director</li><li>• 100% of Faculty Salary line</li><li>• Semester of teaching release</li></ul>
IHSI	<ul style="list-style-type: none"><li>• \$17,200 toward the Director Stipend</li><li>• Salary support for 0.50 FTE of Administrative Support personnel for the Director</li><li>• 100% of Cancer Community/Center Associate Director salary</li><li>• Logistical and communications support, as well as financial support of \$25,000, for the Cancer Community/Center program activities</li></ul>

**OVCR:**



\_\_\_\_\_  
Neal J. Cohen PhD, Director, IHSI

April 19, 2016

\_\_\_\_\_  
Date

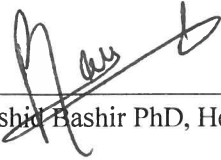


\_\_\_\_\_  
Peter Schiffer PhD, Vice Chancellor for Research

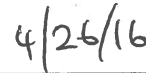


\_\_\_\_\_  
Date

**COLLEGE OF ENGINEERING:**



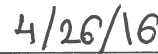
\_\_\_\_\_  
Rashid Bashir PhD, Head, Department of Bioengineering



\_\_\_\_\_  
Date



\_\_\_\_\_  
Andreas Cangellaris PhD, Dean, College of Engineering



\_\_\_\_\_  
Date



Interdisciplinary Health Sciences Institute  
901 West University Avenue  
Suite 101, MC-261  
Urbana, IL 61801  
[healthinstitute.illinois.edu](http://healthinstitute.illinois.edu)

April 11, 2018

Dear Members of the Senate Committee on Educational Policy:

I strongly support the proposal to establish the Cancer Center as a Temporary Center, reporting directly to the Office of the Vice Chancellor for Research.

Over the last several years, the Interdisciplinary Health Sciences Institute (IHSI) has helped to support first the Cancer Community, and now the Cancer Center at Illinois, as part of our efforts to build capacity in biomedical research.

These efforts have thrived, and our Cancer Center is now poised to apply for the prestigious Basic Science Cancer Center designation from the National Institute of Health's National Cancer Institute, via a Cancer Center Support Grant. If successful, this will have a significant impact on our campus.

IHSI has been proud to incubate Cancer Center activities, but the Cancer Center's External Advisory Board has emphasized to us that *NCI designation requires that the organization report to the campus administration. Therefore, it is critical that, at this time, the Center have its reporting line changed so that it reports directly to the Vice Chancellor for Research.*

The Cancer Center Support Grant from the Cancer Institute will provide sustained funding of several million dollars per year, and the NCI designation will enable us to apply for additional NIH funding opportunities for which we are currently not eligible.

Elevating the Cancer Center will strengthen and sustain our campus efforts to enhance and build our global reputation in the health sciences via research, education, clinical partnerships, and outreach. This is an exciting opportunity to grow our sponsored research portfolio and strongly aligns with the campus strategic planning process.

Thank you for your consideration and support of this proposal.

Sincerely,

A handwritten signature in black ink, appearing to read 'Neal J. Cohen', with a stylized flourish at the end.

Neal J. Cohen  
Director  
Interdisciplinary Health Sciences Institute (IHSI)

Office of the Provost and Vice Chancellor for Academic  
Affairs

Swanlund Administration Building  
601 East John Street  
Champaign, IL 61820



April 11, 2018

Gay Miller, Chair  
Senate Committee on Educational Policy  
Office of the Senate  
228 English Building, MC-461

Dear Professor Miller:

Enclosed is a copy of a request from the Office of the Vice Chancellor for Research to establish the Cancer Center as a Temporary Center.

Sincerely,

A handwritten signature in cursive script, reading "Kathryn A. Martensen".

Kathryn A. Martensen  
Assistant Provost

Enclosures

c: S. Martinis  
R. Bhargava  
S. Key  
M. Edwards  
A. Edwards  
E. Stuby



UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE

Committee on University Statutes and Senate Procedures  
(Final; Action)

SP.18.09 Proposed Revision to the *Constitution*, Article III, Section 3 – to expand representation of Academic Professionals from seven to ten Senate seats

**BACKGROUND**

Academic Professionals on the Urbana campus are organized into eleven districts, each with two elected representatives to the Council of Academic Professionals (CAP). Currently, seven of those eleven districts elect a single Academic Professional (AP) to serve on the Senate of the University of Illinois at Urbana-Champaign, while four are expressly excluded from eligibility.

As early as 1974, the Senate has been asked to consider including Academic Professionals as part of the Senate Electorate, but action did not come until after the 6th Senate Review Commission Final Report (<http://www.senate.illinois.edu/xsr0701.asp>). It noted that although Academic Professionals had been members of Senate Committees for several years, they did not have representation in the Senate, and it recommended that ten AP seats—one for each district after excluding the University Administration district—be established in the Senate, noting:

Given the increasing role that academic professionals play in delivering instruction and participating in the academic mission of the University, we recommend that they be given voting representation in the Senate. Current CAP practice is to divide the campus into ten districts of roughly equal size and elect their members by district. We recommend that the number of academic professional senators added be ten to conform with this practice.

After considerable discussion from 2007 to 2009, the Senate approved a constitutional amendment in November 2009 to establish Article III, which is now titled “Academic Professional Representation”. However, because of concerns that had arisen in committee and Senate debate, representation was limited to those seven districts then perceived as more directly connected to the teaching and research mission of the university.

More recently, the 7<sup>th</sup> Senate Review Commission (<http://www.senate.illinois.edu/xsr1501.pdf>) reiterated the earlier recommendation for ten seats:

The recent inclusion of Academic Professionals in the Senate has been a very positive development. However, currently only seven of the 11 campus Academic Professional districts are represented with one Senator each. The Commission recommends an increase in the number of Academic Professional (AP) senate seats from seven to ten so as to include one

elected AP from each district with the exception of the district comprising the University Administration.

The districts which had been excluded in 2009, and which are now being recommended for inclusion, are Districts 4, 7, and 10. The main units in each, drawn from the current CAP organization (<http://cap.illinois.edu/your-%20representatives/districts/>), are identified in parentheses in the list below:

- **District 4** (Graduate College, Center for Advanced Study, Survey Research Laboratory, and various Vice-Chancellor Research programs)
- **District 7** (Applied Health Sciences, Auxiliary Units, Intercollegiate Athletics, and Student Affairs)
- **District 10** (Carle Illinois COM administration, Center for Innovation in Teaching and Learning, Chancellor programs, Center for Advising and Academic Services, Energy Services, Medicine at UIUC, Institutional Advancement, various Provost academic programs, School of Information Sciences, and several services)

Following CAP's 2018 redistricting, each of these districts includes a combination of staff in administrative, research, and teaching roles. District 1 (University Administration) still remains distinct from the remaining ten AP districts and is not being recommended for inclusion in the Senate electorate.

Many Academic Professionals from units not currently represented in the Senate teach credit-bearing courses, advise undergraduate and graduate students, train undergraduate and graduate students in paraprofessional capacities, contribute to academic and research programs, and conduct assessment and research presented at regional and national conferences and in disciplinary journals.

At present, eligibility for the Senate Electorate is determined for each Academic Professional by their unit executive officer (UEO) following Article III, Section 1 of the *Constitution*, which provides:

The academic professional electorate is composed of those members of the academic professional staff who are engaged in and responsible for the educational function of the University; ordinarily this will involve teaching and research. Specifically, the academic professional electorate shall consist of all persons of the campus non-visiting academic staff who have a full-time appointment, are paid by the University, are not candidates for a degree from this University, and who are members of the academic staff as defined in the *University Statutes*, Article II, Section 5, and satisfy the teaching or research criteria established by the Senate Committee on Elections and Credentials and approved by the Senate.

Further, the *Senate Election Rules for the Academic Professional Electorate*, in "Section 2.2 Process for Determining Eligibility to Vote" describes a protocol by which Unit Executive Officers (UEOs) are provided a list of Academic Professionals in their unit each election cycle. UEOs are asked to

confirm the Senate Electorate eligibility of each Academic Professional in the relevant unit, following the criteria outlined in the *Constitution*, and based on guidelines from the State Universities Civil Service System, (SUCSS) available at:

- Procedure Manual for teaching/research AP positions:  
[http://www.sucss.illinois.gov/procman/manuals\\_results.aspx?ID=196&kw=&osm=c40](http://www.sucss.illinois.gov/procman/manuals_results.aspx?ID=196&kw=&osm=c40)
- Procedure Manual for administrative AP positions:  
[http://www.sucss.illinois.gov/procman/manuals\\_results.aspx?ID=194&kw=&osm=c40](http://www.sucss.illinois.gov/procman/manuals_results.aspx?ID=194&kw=&osm=c40)

This proposed change to the *Constitution* would implement the recommendation of the Seventh Senate Review Commission. Further, it is congruent with CAP's realignment plan that is being implemented, while maintaining the status quo with respect to the continued exclusion of Academic Professionals within District 1 (University Administration). Finally, this proposal removes a redundant and overly-restrictive sentence.

### RECOMMENDATION

The Senate Committee on University Statutes and Senate Procedures recommends approval of the following revisions to the *Constitution*, Article III, Section 3. Text to be added is underscored and text to be deleted is ~~struck through~~.

### PROPOSED REVISIONS TO THE CONSTITUTION ARTICLE III, SECTION 3

#### 1 ARTICLE III. ACADEMIC PROFESSIONAL REPRESENTATION

- 2 **Section 3.** Elections shall be held on the basis of ~~seven~~ ten voting units each with one seat.  
3 ~~These voting units are made up of academic units or the University of Illinois Extension or the~~  
4 ~~Prairie Research Institute.~~ These election units shall be as nearly equal in size as is practicable  
5 and shall be the same as the voting units for the relevant Council of Academic Professionals  
6 election districts excluding the district that is comprised of the university system  
7 administration.

#### UNIVERSITY STATUTES AND SENATE PROCEDURES

Shawn Gilmore, Chair  
Balaji Baskaran  
H. George Friedman  
Donald Hackmann  
Wendy Harris  
William Maher  
Dorothee Schneider  
William Stevan  
Jessica Mette, *Ex officio (designee)*  
Jenny Roether, *Ex officio*  
Sarah Zehr, *Observer*



UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE

University Statutes and Senate Procedures  
(Final; Action)

SP.18.11      Revision to the *Bylaws*, Part D.11 – Senate Committee on Faculty and Academic Staff Benefits

**BACKGROUND**

The Senate Committee on Faculty and Academic Staff Benefits is established in the *Bylaws*, Part D.11. As written, the committee focuses on current and future concerns of the faculty regarding benefits. Given the committee's name, it seems to have been an oversight that "academic staff" were not included in the description of duties. In addition, it seems prudent to include an *ex-officio* representative from the Council of Academic Professionals to assure sufficient representation from academic professionals.

In recent years, the committee membership has been heavily weighted towards emeritus faculty, rather than active tenure-track or non-tenure-track faculty. To retain the original focus on faculty and academic staff, limiting (but not omitting) the number of emeritus faculty is recommended to assure a full range of benefits are being discussed. The Senate Executive Committee has recommended that one of the faculty seats be designated for an emeritus faculty, which the language below specifies.

**RECOMMENDATION**

The Senate Committee on University Statutes and Senate Procedures recommends approval of the following revisions to the *Bylaws*, Part D.11. Text to be added is underscored and text to be deleted is ~~struck through~~.

**PROPOSED REVISIONS TO THE BYLAWS, PART D.11**

**Part D – Standing Committees**

**11. Committee on Faculty and Academic Staff Benefits**

a. Duties

The Committee shall investigate and regularly report to the Senate on the adequacy and other attributes of the University's provisions for salaries, retirement benefits, sabbatical leaves, hospitalization and medical insurance, life insurance, other insurance, investment and savings plans, travel reimbursement, housing benefits, educational benefits, recreational benefits, and other perquisites, benefits and conditions of faculty and academic staff employment.

b. Membership

The Committee shall consist of:

1. Five non-emeritus faculty members,

2. One emeritus or emerita faculty member,
3. One academic professional member,
4. The campus faculty representatives to the State Universities Retirement System Members Advisory Committee (*ex officio*),
5. The Director of the Benefits Center or the Director's designee (*ex officio*),
6. The Executive Director of the State Universities Retirement System or the Director's designee (*ex officio*),
7. The Provost or the Provost's designee (*ex officio*),
8. The Director of the Faculty Staff Assistance Services or the Director's designee (*ex officio*),
9. The Associate Vice President for Human Resources or the Associate Vice President's designee (*ex officio*), ~~and~~
10. The President of the UIUC Chapter of the State Universities Annuitants Association or that President's designee (*ex officio*), and
11. The Chair of the Council of Academic Professionals or the Chair's designee (*ex officio*).

UNIVERSITY STATUTES AND SENATE PROCEDURES

Shawn Gilmore, Chair  
Balaji Baskaran  
H. George Friedman  
Donald Hackmann  
Wendy Harris  
William Maher  
Dorothee Schneider  
William Stevan  
Jessica Mette, *Ex officio (designee)*  
Jenny Roether, *Ex officio*  
Sarah Zehr, *Observer*

UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE

Committee on University Statutes and Senate Procedures  
(Final; Action)

SP.18.13 Proposed Revision to the *General Rules*, Article III – Intellectual Property

**BACKGROUND**

University of Illinois *Statutes* provide for senate review of proposed changes to the intellectual property portions of the *General Rules* (Article III), unlike other revisions to the *General Rules*, which are handled by the Board of Trustees, in consultation, via the President, with the University Senates Conference (*Statutes*, Article I, Section 6 and Article XII, Section 5). University Senates Conference (USC) has sent the following proposed changes to Article III of the *General Rules*, along with this introduction from its letter of April 4:

These revisions concern primarily two changes: (1) changing the role of the vice chancellor for research to the new vice president for economic development and innovation (shifting the responsibilities from the university level to the system level); and (2) incorporating the new system/university language being adopted across all the governing documents.

As explanatory context, here are the closing sections of a draft preamble or preface being written to accompany these changes:

*Although there has been no formal legal name change to the institution, as of May 20, 2016, the University of Illinois has adopted the organizational structure and nomenclature of a system. The term “system” recognizes that there are separate universities that share an overarching strategic framework, are supported by certain shared administrative functions, and are overseen by a single governing board. The three universities include the University of Illinois at Urbana-Champaign; the University of Illinois at Chicago and its affiliated regional campuses in Peoria, Rockford, and the Quad Cities; and the University of Illinois at Springfield.*

*Accordingly, when describing the institution as a whole, including the three universities, the collective shall be referred to in this document (and other governance and administrative documents) as either the “University of Illinois,” the “University of Illinois System,” the “U of I System,” or the “system.” The adjectival form for such references is “system-wide.” The University of Illinois offices that provide central administrative services are referred to as “system offices” and staff within these offices are referred to as “system administration” or “system leaders.” The adjectival form for references to such services or staff is “system- level.” To avoid confusion, these offices are not referred to as the “system.”*

*The individual campuses referenced above will be referred to independently as “university” and collectively as “universities.”*

This change reflects the broader move away from the idea of a unitary University of Illinois, directed by a president and vice presidents, with three campus locations, to a system

comprising three universities, each with its own chancellor (who is also a vice president). The emphasis may seem subtle, but is significant. Over the totality of the governing documents this reflects devolution of certain responsibilities from the system to the respective universities; and reserving the role of the system to those cases where there is a clear benefit to implementing policies across the three universities (i.e., system-wide). Similar system/university language is also being developed for the *Statutes*, and those changes will be coming to the senates soon.

USC requests that senates consider these revisions before USC meets on May 3. USC has determined that unlike amending the University *Statutes*, revisions to the *General Rules* only require a single reading.

## RECOMMENDATION

The Senate Committee on University Statutes and Senate Procedures recommends deferring approval of the following revisions to the *General Rules*, Article III, pending further committee discussion and possible modification. Text to be added is underscored and text to be deleted is ~~struck through~~.

## PROPOSED REVISIONS TO THE GENERAL RULES, ARTICLE III

### ARTICLE III. INTELLECTUAL PROPERTY

#### SECTION 1. OBJECTIVES

Technical information, inventions, discoveries, copyrightable works and other creative works that have the potential to be brought into practical use may result from the activities of University of Illinois System employees in the course of their duties or through the use, by any person, of ~~University~~ system resources such as facilities, equipment, or funds.

The first purpose of this intellectual property policy is to provide the necessary protections and incentives to encourage both the discovery and development of new knowledge, its transfer for the public benefit and its use for development of the economy; a second purpose is to enhance the generation of revenue for the ~~University~~ system and to provide financial and reputational benefits for the creator(s); and a third purpose is to preserve the ~~University's~~ freedom to conduct research and to use the intellectual property created by that research or pursuant to an institutional initiative. The system ~~University~~ is guided by the following general objectives:

- (i) To optimize the environment and incentives for research and for the creation of new knowledge ~~at within the system~~ University;
- (ii) To ensure that the educational mission of the system ~~University~~ is not compromised;
- (iii) To bring technology into practical use for the public benefit as quickly and effectively as possible;



- (iv) To protect the interest of the people of Illinois through a reasonable consideration for the system's ~~University's~~ investment in its intellectual property.

## SECTION 2. DEFINITIONS

(a) Intellectual Property. The term "intellectual property" is broadly defined to include inventions, discoveries, know-how, show-how, processes, unique materials, copyrightable works, original data and other creative or artistic works which have value. Intellectual property includes that which is protectable by statute or legislation, such as patents, registered or unregistered copyrights, registered or unregistered trademarks, service marks, trade secrets, mask works, and plant variety protection certificates. It also includes the physical embodiments of intellectual effort, for example, models, machines, devices, designs, apparatus, instrumentation, circuits, computer programs and visualizations, biological materials, chemicals, other compositions of matter, plants, and records of research and experimental results.

(b) Traditional Academic Copyrightable Works. "Traditional academic copyrightable works" are a subset of copyrightable works created independently and at the creator's initiative for academic purposes. Examples may include class notes, books, theses and dissertations, educational instructional materials and software (~~also known as courseware or lessonware~~) that the creators may design for courses ~~they teach~~, articles, non-fiction, fiction, poems, musical works, dramatic works including any accompanying music, pantomimes and choreographic works, pictorial, graphic and sculptural works, or other works of artistic imagination that are not created as an institutional initiative (as specified in Section 4(a)(2) below).

(c) Creator. "Creator" refers to an individual or group of individuals who make, conceive, reduce to practice, author, or otherwise make a substantive intellectual contribution to the creation of intellectual property. "Creator" includes the definition of "inventor" used in U.S. patent law for patentable inventions and the definition of "author" used in the U.S. Copyright Act for copy written works of authorship.

(d) System ~~University~~ Resources Usually and Customarily Provided. When determining ownership and license rights in copyrightable works, "system ~~University~~ resources usually and customarily provided" includes office space, library facilities, ordinary access to computers and networks, or salary. In general, it does not include the use of students or employees as support staff to develop the work, or substantial use of specialized or unique facilities and equipment, or other special subventions provided by the system ~~University~~ unless approved as an exception.

Exceptions are expected in units where the tradition is to provide subvention to some faculty in the form of graduate assistants to help prepare traditional academic copyrightable works. Exceptions are also expected in situations where creators use system ~~University~~ -provided facilities and resources in the creation of works of artistic imagination, for example, use of studios, pottery wheels, or kilns for the creation of paintings, sculpture or ceramics; use of high end computer hardware and software in the creation of artistic graphical images; and so on. Other individual exceptions may be approved on a case-by-case basis [see section 7(k)].

## SECTION 3. APPLICATION

This policy is considered a part of the conditions of employment for every employee of the University of Illinois System, University and a part of the conditions of enrollment and

attendance at the University by students. It is also the policy of the system University that individuals (including visitors) by participating in a sponsored research project and/or making significant use of system University -administered resources thereby accept the principles of ownership of intellectual property as stated in this policy unless an exception is approved in writing by the system University. All University creators of intellectual property shall execute appropriate assignment and/or other documents required to perfect, confirm, or determine ownership and rights as specified in this policy.<sup>1</sup>

This policy applies only to intellectual property disclosed after the effective date of the policy (September 3, 1998).

#### SECTION 4. COPYRIGHTS

(a) Ownership. Unless subject to any of the exceptions specified below or in Sections 4(b) and 4(c), creators retain copyright rights to traditional academic copyrightable works as defined in Section 2(b) above. (See, in particular ~~however~~, Section 4(b)(2) below.)

The University of Illinois System shall own copyrightable works as follows, and by operation of this Article, such works are hereby assigned to and the property of the University system:

(1) Works created pursuant to the terms of a system University agreement with a third party, or

(2) Works created as a specific requirement of employment or as an assigned University duty that may be specified, for example, in a written job description or an employment agreement. Such specification may define the full scope or content of the employee's ~~University employment~~ duties comprehensively or may be limited to terms applicable to a single copyrightable work.<sup>2</sup>

(3) Works specifically commissioned by the system University. The term "commissioned work" refers to a copyrightable work prepared under an agreement between the system University and the creator when (1) the creator is not a system University employee, or (2) the creator is a system University employee but the work to be performed falls outside the normal scope of the creator's system University employment. Contracts covering commissioned works shall specify that the author convey by assignment, if necessary, such rights as are required by the system University.

---

<sup>1</sup>The creator's obligation to assign rights to the system University is subject to the provisions of the Illinois Employee Patent Act, which provides in part (see 765 ILCS 1060/2(1)):

*A provision in an employment agreement which provides that an employee shall assign or offer to assign any of the employee's rights in an invention to the employer does not apply to an invention for which no equipment, supplies, facilities, or trade secret information of the employer was used and which was developed entirely on the employee's own time unless (a) the invention relates (i) to the business of the employer, or (ii) to the employer's actual or demonstrably anticipated research or development, or (b) the invention results from any work performed by the employee for the employer. Any provision which purports to apply to such an invention is to that extent against the public policy of the state and is to that extent void and unenforceable. The employee shall bear the burden of proof in establishing that his invention qualifies under this subsection.*

<sup>2</sup>Provisions (1) and (2) above define those works that fall within the scope of University employment as that term is used in the definition of "work made for hire" in the U.S. Copyright Statute (see Title 17, USC, Section 101).

(4) Works that are also patentable. The system University reserves the right to pursue multiple forms of legal protection concomitantly if available. Computer software, for example, can be protected by copyright, patent, trade secret and trademark.

(b) University System Rights in Creator-Owned Works

(1) Traditional academic copyrightable works created using system University resources usually and customarily provided are owned by the creators. Such works need not be licensed to the system University.

(2) Traditional academic copyrightable works created with use of system University resources over and above those usually and customarily provided shall be owned by the creators but licensed to the system University. The minimum terms of such license shall grant the system University the right to use the original work and to make and use derivative works in its internally administered programs of teaching, research, and public service on a perpetual, royalty-free, non-exclusive basis. The system University may retain more than the minimum license rights when justified by the circumstances of development.

(c) Student Works. Unless subject to the provisions of paragraph (a) or provided otherwise by written agreement, copyrightable works prepared by students as part of the requirements for a University degree program are deemed to be the property of the student but are subject to the following provisions:

(1) The original records (including software) of an investigation for a graduate thesis or dissertation are the property of the system University but may be retained by the student at the discretion of the student's major department.

(2) The University of Illinois System shall have, as a condition of awarding the degree, the royalty-free right to retain, use and distribute a limited number of copies of the thesis, together with the right to require its publication for archival use.

(d) Copyright Registration and Notice. University System-owned works shall be protected by copyright notice in the name of the Board of Trustees of the University of Illinois. Such copyright notice shall be composed and affixed in accordance with the United States Copyright Law. Registration of the copyright for University system-owned works shall be in accordance with the operational guidelines and procedures established by the vice ~~chancellor~~ president for economic development and innovation in consultation with the chancellors or the chancellors' designees for research on each campus. The system University may also decide to release a work to the public domain and if so, should so indicate.

(e) University Press Publications. The University Press shall be responsible for copyright registration of works owned by the system University and published by the Press and for administering contracts with its authors. Such contracts shall define the rights and obligations of the author and the system University and shall be processed as other system University contracts.

(f) Compliance with the Copyright Act. University System units that administer activities involving any usage regulated by the Copyright Act are responsible for knowing applicable regulations, monitoring their continuing evolution, and conducting their programs in full compliance with the applicable laws and regulations.

SECTION 5. OTHER INTELLECTUAL PROPERTY

Ownership. Except as otherwise specified in this Article or by the University of Illinois System in writing, intellectual property shall belong to the system University, and by operation of this Article is hereby assigned to and the property of the system University, if made: (1) by a system University employee as a result of the employee's duties or (2) through the use by any person, including a system University employee, of University system resources such as facilities, equipment, funds, or funds under the control of or administered by the system University. (See also Section 4(a)(4) above.)

SECTION 6. TRADEMARKS

Trademarks and service marks are distinctive words or graphic symbols identifying the source, product, producer, or distributor of goods or services. Registration of trademarks or service marks, at the state or federal level, shall be approved by the appropriate campus university or system University level officer. Proceeds received from commercialization of a registered or unregistered mark that is related to an intellectual property license for associated intellectual property will be shared with all creator(s) of the associated property as specified in Sections 8(b) and 8(c) below. For proceeds received from commercialization of a mark that is licensed independently and is not directly related to an intellectual property license, the share that would normally be distributed to the creator(s) will be assigned to the unit(s) from which the trademark or service mark originated. Except as provided herein or subject to prior written agreement between the creator(s) and the system University, the system University will not share the proceeds from commercialization of a mark with the individual(s) who created the mark.

SECTION 7. INTELLECTUAL PROPERTY ADMINISTRATION

(a) Disclosure. All intellectual property in which the University of Illinois System University has an ownership interest under the provisions of this policy and that has the potential to be brought into practical use for public benefit or for which disclosure is required by law shall be reported promptly in writing by the creator(s) to the designated campus university officer through the appropriate unit employee using the disclosure form provided by that unit. The disclosure shall consist of a full and complete description of the subject matter of the discovery or development and identify all persons participating therein. The creator(s) shall furnish such additional information and execute such documents from time to time as may be reasonably requested.

(b) Evaluation and Exploitation Decisions. After evaluation of the intellectual property and review of applicable contractual commitments, the system University may develop the property through licensing, to an established business or a start-up company, may release it to the sponsor of the research under which it was made (if contractually obligated to do so), may release it to the creator(s) if permitted by law and current system University policy, or may take such other actions considered to be in the public interest. Exploitation by the system University may not involve statutory protection of the intellectual property rights, such as filing for patent protection, registering the copyright, or securing plant variety certification. All agreements regarding intellectual property must be executed by the vice president/chief financial officer and comptroller and attested to by the Secretary of the Board of Trustees or their his or her designees.

(c) Questions Related to University System Ownership. In the event there is a question as to whether the system University has a valid ownership claim in intellectual property, such intellectual property should be disclosed in writing to the University of Illinois System University by the creator(s) in accordance with Section 7(a). Such disclosure is

without prejudice to the creator's ownership claim. The system University will provide the creator with a written statement as to the system's University's ownership interest.

(d) Informing Creators of Decisions. The system University will inform principal creators of its substantive decisions regarding protection, commercialization and/or disposition of intellectual property which they have disclosed. However, specific terms of agreements with external parties may be proprietary business information and subject to confidentiality restrictions.

(e) University System Abandons Intellectual Property. Should the system University decide to abandon development or protection of system University-owned intellectual property, ownership may be assigned to the creator(s) as allowed by law and current University system practice, subject to the rights of sponsors and to the retention of a license to practice for system University purposes. The minimum terms of the license shall grant the system University the right to use the intellectual property in its internally administered programs of teaching, research, and public service on a perpetual, royalty-free, non-exclusive basis. The system University may retain more than the minimum license rights, and the assignment or license may be subject to additional terms and conditions, such as revenue sharing with the system University or reimbursement of the costs of statutory protection, when justified by the circumstances of development.

(f) Commercialization. The system University may, at its discretion and consistent with the public interest, license intellectual property on an exclusive or non-exclusive basis. The licensee must demonstrate technical and business capability to commercialize the intellectual property. The licensee may include clear performance milestones with a provision for recapture of intellectual property if milestones are not achieved. The licensee may be required to assume the cost of statutory protection of the intellectual property.

(g) Conflict of Interest and Commitment. Commercialization activities involving University of Illinois System employees will be subject to review of potential conflict of interest and commitment issues and approval of a conflict management plan in accordance with applicable system University policy.

(h) University's System's Acceptance of Independently Owned Intellectual Property. The system University may accept assignment of intellectual property from other parties provided that such action is determined to be consistent with the public interest. Intellectual property so accepted shall be administered in a manner consistent with the administration of other system University-owned intellectual property.

(i) Consulting Agreements. University System employees engaged in external consulting work or business are responsible for ensuring that agreements emanating from such work are not in conflict with system University policy, with the system's University's contractual commitments or with system University policies regarding system- University owned intellectual property. Such employees should make their non-system University obligations known to the appropriate campus officer and should provide other parties to such agreements with a statement of applicable system University policies regarding ownership of intellectual property and related rights.

(j) Statement by Creators. The creators of system- University owned intellectual property may be required to state that to the best of their knowledge the intellectual property does not infringe on any existing patent, copyright or other legal rights of third parties; that if the work is not the original expression or creation of the creators, the necessary permission for use has been obtained from the owner; and that the work contains no libelous material nor material that invades the privacy of others.



(k) Administrative Responsibility. The president has ultimate authority for the stewardship of intellectual property developed ~~at~~ within the University of Illinois System. Pursuant to Article I, Section 2, Paragraph (d) the vice president for ~~research~~ economic development and innovation has direct line authority for system ~~University~~ offices and entities involved in technology commercialization and related economic development. With the advice of the chancellors/vice presidents, and in consultation with the executive vice president and vice president for academic affairs and the campus vice chancellors for research, the vice president for ~~research~~ economic development and innovation shall establish operational guidelines and procedures for the administration of intellectual property, including but not limited to determination of ownership, assignment, protection, licensing, marketing, maintenance of records, oversight of revenue or equity collection and distribution, approval of individual exceptions, and resolution of disputes among creators and/or unit executive officers.

(l) ~~Campus~~ University Responsibility. Each ~~campus~~ university may establish an office which has responsibility for administering system ~~University~~ policies regarding intellectual property as defined in this Article.

(m) Contractual Authority. Licenses, options for licenses and other agreements related to commercialization or exploitation of intellectual property shall be granted in the name of the Board of Trustees of the University of Illinois. All such contracts shall be executed in accordance with the policies described in this Article.

(n) Administrative Guidelines and Procedures. General guidelines and procedures for the administration of intellectual property shall be established by the president in consultation with the University System Intellectual Property Committee (as specified in Section 7(o) below) ~~and the campuses. Detailed operational guidelines and procedures for the administration of campus based responsibilities shall be established by the vice chancellor for research.~~

(o) University System Intellectual Property Committee. The University System Intellectual Property Committee shall be appointed annually by the president to make recommendations to the president regarding procedures, guidelines, and responsibilities for the administration and development of intellectual property and such other matters as the president shall determine.

(p) Appeals. After following the administrative guidelines and procedures ~~established by each campus~~, the University creator or unit executive officer may appeal to the University System Intellectual Property Committee to seek resolution of complaints or questions regarding the matters addressed in this Article.

(q) Preferential Treatment of Sponsors. Sponsored research agreements shall provide that all intellectual property developed as a result of the sponsored research project shall belong to the University system unless otherwise specified in writing. The sponsor may receive an option to license the resulting intellectual property on terms to be negotiated, with the option to be exercised within a specified period following the disclosure of the intellectual property. When the nature of the proposed research allows identification of a specific area of intellectual property or application which is of interest to the sponsor, the system ~~University~~ may accept research agreements with terms which entitle the sponsor to reasonable specific commercial rights within the defined field of interest. Otherwise, the specific terms of licenses and rights to commercial development shall be based on negotiation between the sponsor and the system ~~University~~ at the time the option is executed by the sponsor and shall depend on the nature of the intellectual property and its application, the relative contributions of the

334 system University and the sponsor to the work, and the conditions deemed most likely to  
335 advance the commercial development and acceptance of the intellectual property. In all cases  
336 where exclusive licensing is appropriate, such license agreements shall be executed apart from  
337 the sponsored research agreement and shall require diligent commercial development of the  
338 intellectual property by the licensee. The system University may also determine, on a case-by-  
339 case basis and only if allowed by law, that it is in the system's University's interest to assign  
340 ownership of resulting intellectual property to the sponsor as an exception to this policy when  
341 circumstances warrant such action, in accordance with guidelines established by the  
342 University System Intellectual Property Committee.

343  
344 (r) Exceptions to Policy. Recommendations for exceptions to the provisions of the  
345 policy in this Article shall be made by the University System Intellectual Property Committee  
346 to the president for presentation to the Board of Trustees. [For individual exceptions, see  
347 Section 7(k).]

## 348 SECTION 8 PROCEEDS DISTRIBUTION<sup>3</sup>

350  
351 (a) Proceeds. For purposes of this policy, "proceeds" shall refer to all revenue  
352 and/or equity, as defined below, received by the University of Illinois System from transfer,  
353 commercialization, or other exploitation of system- University owned intellectual property.

354  
355 (1) Revenue. "Revenue" shall mean cash from payments including, but not  
356 limited to, royalties, option fees, license fees, and/or fees from the sale of the system's  
357 University's equity interest.

358  
359 (2) Equity. "Equity" shall include, but not be limited to, stock, securities,  
360 stock options, warrants, buildings, real or personal property, or other non-cash consideration.

361  
362 (b) Revenue Distribution. When revenue is received by the system University, all  
363 out-of-pocket payments or obligations (and in some cases, a reasonable reserve for anticipated  
364 future expenses) attributable to protecting (including defense against infringement or  
365 enforcement actions), marketing, licensing or administering the property may be deducted  
366 from such income. The income remaining after such deductions is defined as net revenue. In  
367 the case of multiple intellectual properties licensed under a single licensing agreement, the  
368 system University shall determine and designate the share of net income to be assigned to  
369 each intellectual property.

370  
371 (1) Creator's Share. The creator (or creator's heirs, successors, and assigns)  
372 normally shall receive forty percent (40%) of net revenue. If there are joint creators, the net  
373 income shall be divided among them as they shall mutually agree. Should the creators fail to  
374 agree mutually on a decision, the system University shall determine the division.

375  
376 (2) Originating Unit's Share. The originating unit normally shall receive  
377 twenty percent (20%) of net revenue. If a creator is affiliated with more than one originating  
378 unit or if there are joint creators from different units, the originating unit(s) share shall be  
379 divided among such units as agreed in writing by the responsible unit executive officers.

---

<sup>3</sup>These proceeds distribution provisions shall apply only to revenue and equity received from agreements for commercialization that are executed subsequent to the effective date of this policy (September 3, 1998). Unless otherwise agreed in writing between the system University and the creator(s), distribution of income for commercialization prior to the effective date of this policy shall be in accordance with the policy in effect at the time the agreement was approved. Where no policy exists (e.g., for equity), this policy shall prevail.

(3) System and University's Shares. The University system normally shall receive forty percent (40%) of net revenue. Distribution of the University's system's share shall be allocated to the relevant university in support of its technology transfer activities and academic and research programs as determined by the vice chancellor president for economic development and innovation, in consultation with the appropriate vice chancellor(s) for research of the relevant university or universities.

(c) Equity Distribution. In any instance wherein the system University executes an agreement with a corporation or other business entity for purposes of exploiting intellectual property owned by the system University and the system University receives or is entitled to receive equity, revenue from the equity shall be shared among the creator(s), the originating unit(s), and the system University in the same proportions as revenue distributions (except as specified in Section 8(d) below).

(d) Exceptions When the Creator(s) Have No Entitlement. If the system University accepts research support in the form of a sponsored research agreement or unrestricted grant as part of the consideration in an intellectual property license in lieu of an option fee, license fee or royalty, the creator(s) shall have no entitlement to receive a share as personal income. For the subset of equity that is buildings, real or personal property, or other non-cash consideration, the creator(s) shall have no entitlement to receive a share as personal income.

(e) Special Distributions. Special facts or circumstances may warrant a different distribution of proceeds than specified above and such distributions will be determined on a case-by-case basis under the authority of the vice chancellor president for economic development and innovation, in consultation with the appropriate vice chancellor(s) for research of the relevant university or universities.

(f) Revenue from Actions for Defense or Enforcement of Intellectual Property Rights. When the system University receives revenue from third parties that results from successful actions for the purpose of defending or enforcing the system's University's rights in its intellectual property, such revenue may first be used to reimburse the system University (or the sponsor or licensee, if appropriate) for expenses incurred in such actions. The creator(s) and their originating unit(s) shall be entitled to recovery of lost royalties from the remaining net revenue, in the same proportions as specified in Section 8(b) above. The remaining net revenue shall be allocated in support of the system's University's technology transfer activities and academic and research programs as determined by the vice president for economic development and innovation, in consultation with the appropriate vice chancellor(s) for research of the relevant university or universities.

#### UNIVERSITY STATUTES AND SENATE PROCEDURES

Shawn Gilmore, Chair  
Balaji Baskaran  
H. George Friedman  
Donald Hackmann  
Wendy Harris  
William Maher  
Dorothee Schneider  
William Stevan  
Jessica Mette, *Ex officio (designee)*  
Jenny Roether, *Ex officio*  
Sarah Zehr, *Observer*



UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE  
Prefiled Resolution

RS.18.03 Resolution For Equal Enforcement of the State Farm Center and Memorial Stadium's No Protest Policy, Including Continuing Appearances of an Unauthorized Chief Illiniwek at UIUC Sporting Events

Whereas the University of Illinois at Urbana-Champaign (UIUC) officially dropped its American Indian mascot, Chief Illiniwek, as a campus symbol in 2007; and

Whereas the rules of the State Farm Center and Memorial Stadium expressly forbid protests from being held inside of the facilities\*; and

Whereas some members of the Senate are concerned with the selective and inconsistent application of the State Farm Center's policy against protest activities within the building; and

Whereas the appearance of a person dressed in an identical costume to that of Chief Illiniwek, who walks out during the Chief's theme music and mimics many of the Chief's actions, is clearly an **act of protest** against UIUC's decision to drop Chief Illiniwek and remove the Chief from the court and from the playing field; and

Whereas, if the State Farm Center and Memorial Stadium personnel are going to ban critics of the Chief from protests within the premises, then it should treat pro-Chief protestors by the same standard, including appearances of the Chief in costume; then

Be it resolved that we ask the Chancellor and Athletic Director to direct **all** State Farm Center and Memorial Stadium personnel, including UI police, **to enforce the no-protest policy equally and by the same standard for all, including enforcing the policy with respect to unauthorized appearances by a Chief Illiniwek character** in costume.

Submitted and co-sponsored by, Senators

Jay Rosenstein  
Bruce Reznick  
Kathryn La Barre  
Rahul Raju

*\*Note: support of this resolution does not necessarily signify an endorsement of the actual "no protest" policy, just that it be applied equally as long as it exists*

-----



*U of I police stand with Chief Illiniwek changing out of his costume after accompanying him during his performance in the arena, State Farm Center, 1/18/15. Photo © 2015 Jay Rosenstein*

UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE  
(Final; Information)

EP.18.62 Report of Administrative Approvals at the April 9, 2018 meeting of the EPC.

**Undergraduate Programs**

**BMUS, Vocal Performance Concentration** – update the Program of Study listing in the Academic Catalog to reinstate MUS 474, Vocal Repertoire I (1 hour), and MUS 475, Vocal Repertoire II (1 hour) as required courses in the Vocal Studies area.

In 2008, the Program of Study for this major changed format to list courses required year-by-year. Through either human or system error, these two courses were inadvertently not included in the updated format beginning with the 2009-2010 Program of Study. As the degree audits for students as well as internal handbooks in the School of Music always kept these two courses as requirements, it was not realized until a review of the current Academic Catalog listing done for the 2018-2019 update that they were missing from this source. Because the intention of the faculty, the School, and the College have always been to require MUS 474 and MUS 475 for Bachelor of Music students pursuing the Vocal Performance Concentration, the request is to correct the current Program of Study listing in the Academic Catalog to reflect this, bringing the Catalog's listing of requirements in alignment with the degree audits and internal Undergraduate Handbook.

There are no changes to the number of hours required for the concentration or for the major.



UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE  
(Final; Information)

EP.18.64      Report of Administrative Approvals through April 23, 2018

**Undergraduate Programs**

**BS in Animal Sciences, all concentrations** – In the “Basic Science” category, from which students are to select 6 hours if they are in the Companion Animal and Equine Science Concentration; 6 hours if they are in the Food Animal Production and Management Concentration; 12 hours if they are in the Science, Pre-Veterinary and Medical Concentration, add ANSC 251, Epidemics and Infectious Diseases (3 hours).

Adding this course increases flexibility for students and does not alter the total number of hours needed for any of the concentrations or for the degree.

**Minor in Social Work** -- Add SOCW 370, Social Work and Disability Studies (3 hours) to the list of Social Work electives from which students are required to select a minimum of 6 hours.

Adding this course increases flexibility for students and does not alter the total number of hours needed for the minor.

**Graduate Programs**

**Concentration in Computational Science and Engineering** –on February 12, 2018, the EPC approved EP.18.41, which was reported to the Senate on March 12, 2018. EP.18.41 included an item requesting the addition of six graduate programs in the School of Integrative Biology to the list of programs participating in the Graduate Concentration in Computational Science and Engineering (CSE) offered by the College of Engineering. One additional program was to have been included in this list, the Master of Computer Science (MCS) in Computer Science. As such, this request is made to correct the oversight and to add the MCS in Computer Science to the list of programs participating in the Graduate Concentration in CSE.

As noted in EP.18.41, the CSE concentration requires a thesis with a significant computational component, and the thesis committee must include at least one CSE-affiliated faculty member. The concentration requirements remain unchanged and would be identical for the above-listed programs as they are for all previously-approved programs that participate in the concentration.



UNIVERSITY OF ILLINOIS  
URBANA-CHAMPAIGN SENATE  
Senate Executive Committee  
(Final; Informational)

SC.18.11 Review of the Conflicts of Commitment and Interest (COCI) Policy

The following information was reported by the Senate Committee on General University Policy (GUP) on April 23, 2018 to the Senate Executive Committee (SEC) as requested. The following information will be transmitted to the University Senates Conference (USC) for submission to the Board of Trustees through the President.

The GUP Committee has discussed the system policy on Conflicts of Commitment and Interest. We have no recommendations on fundamental changes to these policies, but we do have two suggestions:

1. We think the policy should be revised to fit the new system/university changes being made in other governing documents.
2. The section on conflicts of commitment is written with an emphasis on *external* commitments – consulting, say, or working with a start-up company. This seems appropriate, given that the focus is on conflicts of commitment to the employer, that is, the University of Illinois. But as we discussed it, we thought of several instances in which a faculty member's activities *internal* to the university in which a faculty member's home unit might claim that the employee has a conflict of commitment to the unit – for example, buy-outs from grants, appointments to a research institute like Beckman, balancing the demands of a joint appointment, etc.
3. One might argue that no conflict of commitment exists in cases such as this, as all the work benefits the University. Nevertheless, we wondered whether we needed some guidelines to help unit heads address the question of how to handle multiple commitments within the University.
4. We note that DPI and other initiatives seem to make this a salient question now.
5. Finally, conflict of commitment policies, taken too literally, could have other unintended consequences because commitments of “time” are not bounded by a 40 hour work week for faculty. Taken to an extreme, some supervisors might argue that personal or family commitments that take time from work present inherent conflicts of commitment.

SENATE EXECUTIVE COMMITTEE

Bettina Francis, Chair  
Nancy O’Brien, Vice Chair  
Nicholas Burbules  
Shawn Gilmore  
Kim Graber  
Sam LeRoy  
William Maher  
Gay Miller  
Scott Morris  
Bryan Parthum  
Rahul Raju  
Jeff Stein  
Mark Steinberg  
Matthew Wheeler