

APPROVED BY SENATE

12/03/2012

SP.13.04

December 3, 2012

**UNIVERSITY OF ILLINOIS
URBANA-CHAMPAIGN SENATE**

**Committee on University Statutes and Senate Procedures
(Final; Action)**

SP.13.04 Proposed Amendments to the *Statutes* Regarding the Title of the Chancellor

BACKGROUND

On October 24, 2012 University Senates Conference Chair Nicholas Burbules communicated to each of the Senates a recommendation from the Board of Trustees (BOT) to amend the *Statutes* and *General Rules* to "revise certain administrative titles." It stated:

In November 2010, the Board of Trustees amended the *Statutes* and *General Rules* to, among other amendments, change the titles of the chancellors to "Vice President/Chancellor at the (Urbana-Champaign, Chicago, or Springfield) Campus." It is recommended at this time to reverse the order of the titles from "Vice President/Chancellor at the (Urbana-Champaign, Chicago, or Springfield) Campus" to "Chancellor at the (Urbana-Champaign, Chicago, or Springfield) Campus/Vice President" to signify that each chancellor has primary responsibility for the campus while also serving as a member of the University of Illinois leadership team.

The University Senates Conference requested that each Senate follow its own process for reviewing and offering advice on the proposed changes. At Urbana-Champaign, the process is referenced in *Senate Bylaws* and *Standing Rules*, which assign responsibility for review of the form of amendments to the Senate Committee on University Statutes and Senate Procedures (USSP). USSP has examined the proposal in detail and provides this document as its guidance to the Senate.

USSP presumes that the intent of the 2012 BOT recommendation is simply to reverse the order of words in all the current instances of "vice president/chancellor" to "chancellor/vice president" whether in singular or plural form. In considering the proposed amendment, USSP reexamined the extensive advice it had provided in November 2010 when the title of chancellor had been changed to "vice president/chancellor" (c.f. SP.11.04). In that advice, USSP noted that if the modifier "vice president" was to be added to the title of "chancellor," maximum clarity would be achieved if the compound title of "vice president/chancellor" were used only once and that all subsequent references to the position remain as simply "chancellor."

After examining all of the specific changes needed to meet the current BOT recommendation, USSP believes that, as a stylistic matter, greater clarity and precision

can be achieved by using the simple title of “chancellor” for all instances after the first “chancellor/vice president” in Article I, Section 5. However, the USSP recognizes that in 2010 the BOT chose to approve changes that use the compound title throughout the *Statutes* and *General Rules* and may not be prepared to revisit this decision at the present time. If that is the case, USSP supports the approach of simply reversing the order of words in all instances of the compound title in the current version of the *Statutes* and *General Rules*. Considering the importance of the building of relationships between the Senate and the BOT, as noted in the UIUC Senate’s October 8 adoption of a *Statement on Faculty Representation and Shared Governance* (SC.13.04), USSP recommends that the Senate go on record in favor of the change proposed by the BOT and represented in the attached document.

In preparing this proposal, the USSP has made several minor editorial changes in the phrasing and punctuation of the BOT-proposed amendments. USSP recommends that the Senate advise the University Senates Conference to include those changes with the advice offered by other Senates and to advance them to the BOT. These editorial items are highlighted in yellow at lines 6-7, 10-13, 229-230, 531, 543, and 560.

RECOMMENDATION

The Senate Committee on University Statutes and Senate Procedures recommends Senate approval of the following revisions to the *Statutes*, and requests that this background statement as well as attached document containing the revisions be forwarded by the University Senates Conference to the Board of Trustees. Text to be added is underscored, and text to be deleted is indicated in [square brackets]. Note that any paragraphs where no changes appear are included in this document as context for related paragraphs which do include deletion or insertion marks. For the complete context for all changes, Senators may want to compare the document below to the full *Statutes* available at: <http://www.uillinois.edu/trustees/statutes.cfm>

UNIVERSITY STATUTES AND SENATE PROCEDURES
William Maher, Chair
Nikita Borisov
H. George Friedman
Shao Guo
Wendy Harris
Anna-Maria Marshall
Jim Maskeri
Ann Reisner
Sandy Jones, *Ex officio (designee)*
Jenny Roether, *Ex officio*

2 **ARTICLE I. UNIVERSITY ADMINISTRATION**

3 **Section 3. The University Officers**

4 The university officers are identified in *The General Rules Concerning University*
5 *Organization and Procedure*. Prior to recommending to the Board of Trustees the initial appointment
6 of any university officer, except the president and the [vice president/chancellor] chancellor/vice
7 president, the president shall seek the advice of the University Senates Conference. On the occasion
8 of the reappointment of any university officer, the University Senates Conference may submit its
9 advice if it so elects.

10 **Section 5. [Vice Presidents and Campus Chancellors]Chancellors/Vice Presidents**

11 There shall be a [vice president, University of Illinois and] chancellor at each campus of the
12 University [(vice president/chancellor] who shall also be a vice president of the University
13 (chancellor/vice president). The [vice president/chancellor] chancellor/vice president, under the
14 direction of the president, shall serve as the chief executive officer for the campus. The [vice
15 president/chancellor] chancellor/vice president shall perform such duties as may be delegated and
16 assigned by the president and as may be consistent with the *Statutes* of the University, *The General*
17 *Rules*, and actions of the Board of Trustees.

18 The [vice president/chancellor] chancellor/vice president shall be appointed annually by the
19 Board of Trustees on the recommendation of the president. On the occasion of the appointment of a
20 new [vice president/chancellor] chancellor/vice president, the president shall have the advice of a
21 committee selected by the senate of the campus concerned. On the occasion of a reappointment, the
22 senate may submit its advice if it so elects.

23 **ARTICLE II. LEGISLATIVE ORGANIZATION**

24 **Section 1. Campus Senates**

25 g. Each senate shall adopt bylaws which shall govern except as otherwise provided in
26 these *Statutes* its procedures and practices, including such matters as committee structure and duties,
27 calling of meetings and establishment of agenda, and selection of officers. The bylaws of each senate
28 shall provide for committees or other bodies to exercise those statutory duties specified in other
29 sections of these *Statutes*, e.g., academic freedom and tenure, student discipline, and student affairs.
30 The bylaws and any changes thereto shall be reported to the Board of Trustees through the [vice
31 president/chancellor] chancellor/vice president and the president.

32 **Section 3. Faculty Role in Governance**

33 a. (1) The faculty of the University and any of its units except for the Graduate College
34 consists of those members of the academic staff with the rank or title in that unit of professor,
35 associate professor, or assistant professor who are tenured or receiving probationary credit toward
36 tenure, and those administrators in the direct line of responsibility for academic affairs (persons who
37 hold the title director or dean in an academic unit, provost or equivalent officer, [vice
38 presidents/chancellors] chancellor/vice president and president). Administrative staff members not
39 in the direct line of responsibility for academic affairs are members of the faculty only if they also
40 hold faculty appointments. The bylaws of any academic unit may further mandate a minimum
41 percent faculty appointment in that unit for specified faculty privileges, such as voting privileges.

42 **Section 4. Faculty Advisory Committee**

43 Faculty advice and recommendations on University governance are traditionally provided to
44 the administration through standing and ad hoc committees and representation in the senate. In
45 addition, at each campus the faculty shall elect a Faculty Advisory Committee. The committee shall

46 consist of nine faculty members on the Chicago and Urbana-Champaign campuses, three of whom
47 shall be elected each year. The committee shall consist of seven faculty members on the Springfield
48 campus, two of whom shall be elected each year and the seventh every third year. The three-year
49 terms will commence on the first day of the academic year following the election.

50 Each campus senate shall determine eligibility for membership on the Faculty Advisory
51 Committee for its campus from among the members of the Faculty Advisory Committee electorate,
52 excluding those who hold administrative appointments. Any eligible person may be nominated as a
53 committee member by a petition signed by three members of the electorate and filed with the clerk or
54 secretary of the senate. The deadline for filing shall be set by each campus senate. The clerk or
55 secretary of the senate shall conduct the election as soon as possible thereafter. The eligible nominees
56 for the number of seats to be filled receiving the highest number of votes shall be declared elected. If
57 vacancies arise between regular elections, the eligible nominee with the next highest number of votes
58 at the most recent election shall be declared a member of the committee. In the absence of any such
59 nominee willing and able to serve, the vacancy shall be filled at the next regular election.

60 No more than two members of the committee may hold paid appointments in the same college
61 or in the same unit organized independently of a college.

62 The committee shall elect its own chair at its first meeting of each academic year. The
63 committee shall adopt its rules of procedure, copies whereof shall be sent to all members of the
64 academic staff (as defined in Article IX, Sections 4a and 3c) and to the [vice president/chancellor]
65 chancellor/vice president and the president. The committee shall make such reports to the [vice
66 president/chancellor] chancellor/vice president, the president, the senate, and the faculty as it deems
67 appropriate at least once a year.

68 The committees shall provide for the orderly voicing of suggestions for the good of the
69 University, afford added recourse for the consideration of grievances, and furnish a channel for direct
70 and concerted communication between the academic staff (as defined in Article IX, Sections 4a and
71 3c) and the administrative officers of the University, its colleges, schools, institutes, divisions, and
72 other administrative units on matters of interest or concern to the academic staff (as defined in Article
73 IX, Sections 4a and 3c) or any member of it. Academic staff members who are members of the
74 Professional Advisory Committee electorate shall use the procedures outlined in Section 5 of Article
75 II.

76 In performing its functions, the committee upon the request of the [vice president/chancellor]
77 chancellor/vice president, the president, or any member of the academic staff (as defined in Article
78 IX, Sections 4a and 3c), or upon its own initiative shall make such investigations and hold such
79 consultations as it may deem to be in the best interest of the University. A member of the academic
80 staff (as defined in Article IX, Sections 4a and 3c) or a retired member shall be entitled to a conference
81 with the committee or with any member of it on any matter properly within the purview of the
82 committee.

83 **Section 5. Professional Advisory Committee**

84 At each campus, the academic professional staff whose appointments as academic
85 professionals require at least 50 percent (50%) of full-time service shall elect a professional advisory
86 committee. The academic professional staff consists of those staff members on academic appointment
87 whose positions have been designated by the president and the [vice president/chancellor]
88 chancellor/vice president as meeting specialized administrative, professional, or technical needs in
89 accordance with Article IX, Sections 3a, 3c, and 4a.

90 Any member of the professional advisory committee electorate shall be eligible for
91 membership. University-level administration staff shall be members of the electorate of the campus
92 at which their principal office is located. Each [vice president/chancellor] chancellor/vice president
93 (or the president in the case of university-level administration staff members) after consultation with
94 the body may identify senior administrative officers to be excluded from the electorate.

95 Bylaws and articles of procedure covering such matters as name of the body, nomination and
96 election of members and officers, size of the body, and terms of office shall be developed at each
97 campus and after approval by the [vice president/chancellor] chancellor/vice president made
98 available to the members of the electorate.

99 The body shall provide for the orderly voicing of suggestions for the good of the University,
100 afford added recourse for the consideration of grievances, and furnish a channel for direct and
101 concerted communication between the academic professional staff and the administrative officers of
102 the University, its colleges, schools, institutes, divisions, and other administrative units on matters of
103 interest or concern to the academic professional staff or any member of it. The body shall report to
104 the [vice president/chancellor] chancellor/vice president, the president, and the academic
105 professional staff at least once a year.

106 In performing its functions, the body upon the request of the [vice president/chancellor]
107 chancellor/vice president, the president, or any member of the academic professional staff, or upon
108 its own initiative shall make such investigations and hold such consultations as it may deem to be in
109 the best interest of the University. Any member or retired member of the academic professional staff
110 shall be entitled to a conference with the body or with any member of it on any matter properly
111 within the purview of the body.

112 **ARTICLE III.**

CAMPUSES, COLLEGES, AND SIMILAR CAMPUS UNITS

113 **Section 1. The Campus**

114 c. The transfer of any line of work or any part thereof from one campus to another shall be
115 made on the recommendation of the senates and [vice-presidents/chancellors] chancellors/vice
116 presidents of the campuses involved, the University Senates Conference, and the president upon
117 approval by the Board of Trustees.

118 d. The [vice president/chancellor] chancellor/vice president, under the direction of the
119 president, shall be the chief executive officer of the campus, as provided in Article I, Section 5.

120 e. There shall be a provost and vice chancellor for academic affairs or equivalent officer at
121 each campus who shall be the chief academic officer under the [vice president/chancellor]
122 chancellor/vice president for the campus and will serve as chief executive officer in the absence of the
123 [vice president/chancellor] chancellor/vice president.

124 f. There may be additional vice chancellors with campus-wide responsibilities and other
125 administrative officers with responsibilities and duties as delegated by the [vice president/chancellor]
126 chancellor/vice president.

127 g. Vice chancellors shall be appointed annually by the Board of Trustees on the
128 recommendation of the [vice president/chancellor] chancellor/vice president and the president. The
129 [vice president/chancellor] chancellor/vice president shall on the occasion of each appointment seek
130 the advice of the executive committee of the campus senate. The executive committee may seek the
131 counsel of other campus bodies in preparing its advice.

132 **Section 2. The College**

133 c. Subject to the jurisdiction of the senates as provided in Article II, Section 1, the college
134 shall have jurisdiction in all educational matters falling within the scope of its programs, including
135 the determination of its curricula, except that proposals which involve budgetary changes shall
136 become effective only when the [vice president/chancellor] chancellor/vice president has approved
137 them. The college has the fullest measure of autonomy consistent with the maintenance of general
138 university educational policy and correct academic and administrative relations with other divisions
139 of the University. In questions of doubt concerning the proper limits of this autonomy between the

140 college and the senate, the college shall be entitled to appeal to the [vice president/chancellor]
141 chancellor/vice president for a ruling.

142 d. The transfer of any line of work or any part thereof to or from a college or to or from
143 some other educational or administrative group within a campus shall be made on the
144 recommendation of the appropriate senate and [vice president/chancellor] chancellor/vice president
145 and on approval of the president.

146 **Section 3. The Dean**

147 a. The dean is the chief executive officer of the college, responsible to the [vice
148 president/chancellor] chancellor/vice president for its administration, and is the agent of the college
149 faculty for the execution of college educational policy.

150 b. The dean shall be appointed annually by the Board of Trustees on recommendation by
151 the [vice president/chancellor] chancellor/vice president and the president. On the occasion of each
152 recommendation, the [vice president/chancellor] chancellor/vice president shall seek the prior advice
153 of the executive committee of the college concerned. The performance of the dean shall be evaluated
154 at least once every five years in a manner to be determined by the college faculty.

155 c. On recommendation of the dean and the [vice president/chancellor] chancellor/vice
156 president, the president may appoint annually associate or assistant deans as required.

157 d. The dean shall (1) call and ordinarily preside at meetings of the college faculty to
158 consider questions of college and departmental governance and educational policy at such times as
159 the dean or the executive committee may deem necessary but not less frequently than once in each
160 academic year; (2) formulate and present policies to the faculty for its consideration, but this shall not
161 be interpreted to abridge the right of any member of the faculty to present any matter to the faculty;
162 (3) make reports on the work of the college; (4) oversee the registration and progress of the students in
163 the college; (5) be responsible for the educational use of the buildings and rooms assigned to the
164 college and for the general equipment of the college as distinct from that of the separate departments;
165 (6) serve as the medium of communication for all official business of the college with other campus
166 authorities, the students, and the public; (7) represent the college in conferences, except that
167 additional representatives may be designated by the dean for specific conferences; (8) prepare the
168 budget of the college in consultation with the executive committee of the college; and (9) recommend
169 the appointment, reappointment, nonreappointment, and promotion of members of the academic
170 staff. Regarding appointments, reappointments, nonreappointments, and promotions, the dean shall
171 consult with the appropriate departmental chair(s) and executive committee(s), or department head(s)
172 who shall provide the dean with the advice of the advisory committee or other appropriate committee
173 as specified in the department bylaws. Recommendations to positions on the academic staff shall
174 ordinarily originate with the department, or in the case of a group not organized as a department
175 with the person(s) in charge of the work concerned and shall be presented to the dean for
176 transmission with the dean's recommendation to the [vice president/chancellor] chancellor/vice
177 president. In case a recommendation from a college is not approved by the [vice
178 president/chancellor] chancellor/vice president, the dean may present the recommendation to the
179 president, and, if not approved by the president, the dean with the consent of the Board of Trustees
180 may present the recommendation in person before the Board of Trustees in session.

181 **Section 4. The School and Similar Campus Units**

182 d. Governance of schools and similar campus units within a college:

183 (2) The school has the fullest measure of autonomy consistent with the maintenance
184 of general college and university educational policy and with appropriate academic and
185 administrative relations with other divisions of the University. In questions of doubt concerning the
186 proper limits of this autonomy, the school may appeal directly to the dean and the executive

187 committee of the college and shall be entitled to appeal subsequently to the [vice
188 president/chancellor] chancellor/vice president.

189 **Section 5. The Dean or Director of a School or Similar Campus Unit**

190 a. In a school or similar campus unit independent of a college, the chief executive officer
191 shall be a dean or director appointed annually by the Board of Trustees on the recommendation of the
192 [vice president/chancellor] chancellor/vice president and the president. On the occasion of each
193 recommendation, the [vice president/chancellor] chancellor/vice president shall seek the prior advice
194 of the executive committee of the faculty concerned. Within the school or similar campus unit, the
195 duties of a director or a dean shall be the same as those of the dean of a college. The performance of
196 the dean or director shall be evaluated at least once every five years in a manner to be determined by
197 the faculty of the unit.

198 b. In a school or similar campus unit included within a college, the chief executive officer
199 shall be a director appointed annually by the Board of Trustees on the recommendation of the dean of
200 the college, the [vice president/chancellor] chancellor/vice president, and the president. On the
201 occasion of each recommendation, the dean shall seek the prior advice of the executive committee of
202 the unit. The director shall (1) call and ordinarily preside at meetings of the school faculty to consider
203 questions of school and subunit governance and educational policy at such times as the director or the
204 executive committee may deem necessary but not less frequently than once in each academic year; (2)
205 formulate and present policies to the faculty for its consideration, but this shall not be interpreted to
206 abridge the right of any member of the faculty to present any matter to the faculty; (3) make reports
207 on the work of the school; (4) have general supervision of the work of students in the school; (5) be
208 responsible for the educational use of the buildings and rooms assigned to the school and for the
209 general equipment of the school as distinct from that of the separate subunits; (6) serve as the medium
210 of communication for all official business of the school with the college, the students, and the public;
211 (7) represent the school in conferences except that additional representatives may be designated by
212 the director for specific conferences; (8) prepare the budget of the school in consultation with the
213 executive committee of the school; and (9) recommend the appointment, reappointment,
214 nonreappointment, and promotion of members of the academic staff. Regarding recommendations of
215 appointments, reappointments, nonreappointments, and promotions of the members of the faculty,
216 the director shall consult with the department's or subunit's executive officer who shall provide the
217 director with the advice of the appropriate committee(s). Such recommendations shall ordinarily
218 originate with the subunit or in the case of a group not organized as a subunit with the person(s) in
219 charge of the work concerned and shall be presented to the director for transmission with the
220 director's recommendation to the dean of the college. The performance of the director shall be
221 evaluated at least once every five years in a manner to be determined by the faculty of the school and
222 college.

223 **ARTICLE IV. DEPARTMENTS**

224 **Section 1. The Department**

225 b. The department has the fullest measure of autonomy consistent with the maintenance of
226 general college and university educational policy and correct academic and administrative relations
227 with other divisions of the University. Should a dispute arise between the department and another
228 unit of the campus concerning the proper limits of this autonomy, the department may appeal for a
229 ruling directly to the dean and the executive committee of the college and, when the [vice
230 president/chancellor] chancellor/vice president considers it proper, to the [vice
231 president/chancellor] chancellor/vice president, who shall make a decision after appropriate
232 consultation.

233 **Section 2. Department Organized with a Chair**

234 a. The chair shall be appointed annually by the Board of Trustees on recommendation of
235 the [vice president/chancellor] chancellor/vice president and the president after consultation with

236 the dean of the college and with the executive committee of the department concerned. The
237 performance of the chair shall be evaluated at least once every five years. As one component of this
238 evaluation, views shall be solicited from the entire department faculty.

239 **Section 3. Department Organized with a Head**

240 a. The head of a department shall be appointed without specified term by the Board of
241 Trustees on recommendation by the [vice president/chancellor] chancellor/vice president and the
242 president after consultation with the dean of the college and all members of the department faculty.
243 The head may be relieved of title and duties as head of the department by the [vice
244 president/chancellor] chancellor/vice president on the recommendation of the dean of the college.
245 The performance of the head shall be evaluated at least once every five years. As one component of
246 this evaluation, views shall be solicited from the entire department faculty.

247 **Section 4. Change of Departmental Organization**

248 On the written request of at least one-fourth of the faculty of the department, as defined in
249 Article II, Section 3a(1), and in no case fewer than two faculty members that the form of the
250 organization of the department be changed, the dean shall call a meeting to poll the departmental
251 faculty by secret written ballot. The names of those making the request shall be kept confidential by
252 the dean. The dean shall transmit the results of the vote to the departmental faculty and to the [vice
253 president/chancellor] chancellor/vice president together with the dean's recommendation. If a
254 change of organization is voted, the [vice president/chancellor] chancellor/vice president shall
255 thereupon transmit this recommendation to the president for recommendation to the Board of
256 Trustees. Faculty of the department may communicate with the Board of Trustees in accordance with
257 Article XIII, Section 4 of these *Statutes*.

258 **ARTICLE V. GRADUATE COLLEGES**

259 **Section 1. The Campus Graduate College**

260 c. The faculty of the Graduate College consists of the president, the [vice
261 president/chancellor] chancellor/vice president, the provost or equivalent officer, the dean, and all
262 those who on the recommendation of the departments or of other teaching or research divisions have
263 been approved by the executive committee and the dean of the Graduate College to assume
264 appropriate academic responsibilities in programs leading to graduate degrees. Other administrative
265 staff members are members of the faculty of the Graduate College only if they also hold faculty
266 appointments and have been recommended and approved as provided above.

267 d. An executive committee shall be the primary advisory committee to the dean of the
268 Graduate College. It shall advise the dean on the formulation and execution of policies and on other
269 activities of the Graduate College. The executive committee consists of fourteen members holding
270 office for staggered two-year terms: eight elected members, four elected annually for two-year terms
271 by the faculty of the Graduate College and six members, three appointed each year for two-year terms
272 by the [vice president/chancellor] chancellor/vice president on the recommendation of the dean of
273 the Graduate College in consultation with the members elected that year. The dean of the Graduate
274 College is *ex officio* a member and chairs the committee. When meeting to give advice on the
275 appointment of the dean, the senior faculty member (in terms of service at the University) on the
276 executive committee shall be chair and the dean shall not be a member of the committee.

277 f. On the recommendation of the dean of the Graduate College and the [vice
278 president/chancellor] chancellor/vice president, the president may appoint annually associate or
279 assistant deans of the Graduate College as required.

280 **Section 2. Special Units of the Graduate College**

281 a. On the recommendation of the Campus Research Board, the executive committee and
282 the dean of the Graduate College with approval by the president and the [vice president/chancellor]

283 chancellor/vice president, the Board of Trustees may create special units of the Graduate College for
284 the purpose of carrying on or promoting research in areas which are broader than the responsibility
285 of any one department. Any such unit may be abolished by similar action.

286 b. Persons shall be appointed to the staff of such special units by the Board of Trustees on
287 the recommendation of the unit concerned, the dean of the Graduate College, the [vice
288 president/chancellor] chancellor/vice president, and the president. Appointments of persons who
289 already have academic rank and title indicative of departmental association shall be made only after
290 consultation with the department concerned. Appointments which carry academic rank and title
291 indicative of departmental association of persons who do not already have departmental association
292 shall be made only after concurrence of the department concerned.

293 **ARTICLE VI. THE CAMPUS LIBRARY**

294 b. The campus library shall be in the charge of the campus librarian who, as the chief
295 executive officer of the library, is responsible to the [vice president/chancellor] chancellor/vice
296 president for its administration and service.

297 d. With the approval of the [vice president/chancellor] chancellor/vice president, the
298 campus librarian may establish branches on the campus when efficiency in reference work,
299 circulation, cataloging, ordering, and other matters of library service and administration, and the
300 general welfare of the campus, college, school, department, or other unit will thereby be promoted.
301 Appointments to the academic staff of branch libraries established under this subsection and the
302 advancement of such staff will be recommended to the [vice president/chancellor] chancellor/vice
303 president with the advice of the executive officer(s) of the unit(s) served by such libraries.

304 e. The campus librarian shall be appointed annually by the Board of Trustees on the
305 recommendation of the [vice president/chancellor] chancellor/vice president with the concurrence of
306 the President of the University. On the occasion of each such appointment, the [vice
307 president/chancellor] chancellor/vice president shall seek the advice of the library committee of the
308 campus senate and of the library executive committee. The performance of the campus librarian shall
309 be evaluated at least once every five years in a manner to be determined by the faculty of the campus
310 library and the library committee of the campus senate. As part of the evaluation, views shall be
311 solicited from the library committee of the campus senate, from other concerned faculty, and from the
312 entire faculty of the campus library.

313 **ARTICLE VII. SPECIALIZED UNITS**

314 **Section 1. General Considerations**

315 In addition to the campus units described in the previous Articles, there are special purpose
316 educational and administrative units whose responsibilities and roles extend substantially beyond
317 one campus. The organization and mission of such units, including clearly defined lines of
318 responsibility to University or campus officers, shall be specified in these *Statutes*, in *The General*
319 *Rules Concerning University Organization and Procedure*, or in such other documents as shall be
320 deemed appropriate by the president. These specialized units may include but need not be limited to
321 organizations designated as bureaus, councils, departments, divisions, institutes, and services. The
322 staffs of these units shall have campus membership and status upon recommendation of the
323 appropriate [vice president/chancellor] chancellor/vice president or [vice presidents/chancellors]
324 chancellors/vice presidents subject to the *Statutes* and *The General Rules* governing the campus
325 operations.

326 **Section 3. Councils on Teacher Education**

327 a. At each campus engaged in teacher education, there shall be a Council on Teacher
328 Education composed of the deans and directors of the respective colleges, schools, and similar units at
329 that campus which offer curricula in the preparation of teachers for the elementary and secondary

330 schools. The chair of the council shall be named by the campus [vice-president/chancellor]
331 chancellor/vice president.

332 **ARTICLE VIII. CHANGES IN ACADEMIC ORGANIZATION**

333 **Section 3. Formation of New Units**

334 a. *Departments.* The formation of a new department or similar academic unit within a
335 school or college may be proposed by the faculty or executive officer of that school or college. The
336 president shall submit the proposal for the new unit together with the advice of the faculty of the
337 school or college of each higher unit, taken and recorded by a vote of the faculty by secret written
338 ballot in accordance with the bylaws of that unit, of the appropriate senate, taken and recorded by a
339 vote of the senate, of the appropriate [vice president/chancellor] chancellor/vice president, and of the
340 University Senates Conference to the Board of Trustees for action.

341 b. *Intermediate Units.* An academic unit of intermediate character, such as a school
342 organized within a college, may be proposed by the faculty or the executive officer of the higher unit.
343 The president shall submit the proposal for the intermediate unit together with the advice of the
344 higher unit, taken and recorded by a vote of the faculty by secret written ballot in accordance with the
345 bylaws of that unit, of the appropriate senate, taken and recorded by a vote of the senate, of the
346 appropriate [vice president/chancellor] chancellor/vice president, and of the University Senates
347 Conference to the Board of Trustees for action.

348 c. *Colleges and Independently Organized Campus Units.* A college or other independently
349 organized campus unit, such as a school, institute, center, or similar campus unit not within a school
350 or college, may be proposed by the appropriate senate or [vice president/chancellor] chancellor/vice
351 president. The president shall submit the proposal for the unit together with the advice of the
352 appropriate senate, taken and recorded by a vote of the senate, of the appropriate [vice
353 president/chancellor] chancellor/vice president, and of the University Senates Conference to the
354 Board of Trustees for action.

355 d. *Units Organized at the University Level.* Units organized at the university level, such as
356 institutes, councils, and divisions, may be formed for the development and operation of teaching,
357 research, extension, and service programs which are statewide or intercampus in their scope and
358 which cannot be developed under a campus administration. Such an organization may be proposed
359 by a senate, a [vice president/chancellor] chancellor/vice president, the University Senates
360 Conference, or the president. The president shall submit the proposal for the new organization
361 together with the advice of the appropriate senates, taken and recorded by a vote of each such senate,
362 of the appropriate [vice presidents/chancellors] chancellors/vice presidents, and of the University
363 Senates Conference to the Board of Trustees for action.

364 e. *Campuses.* The formation of a new campus may be proposed by the president, by a
365 senate, or by the University Senates Conference. The president shall submit the proposal for the new
366 campus together with the advice of the senates, taken and recorded by a vote of each senate, of the
367 [vice presidents/chancellors] chancellors/vice presidents, and of the University Senates Conference
368 to the Board of Trustees for action. If the proposal is adopted, the University Senates Conference shall
369 serve as an advisory body to the president in developing procedures to implement the action of the
370 board.

371 **ARTICLE IX. ACADEMIC AND ADMINISTRATIVE STAFFS**

372 **Section 2. Employment of Relatives**

373 No individual shall initiate or participate in institutional decisions involving a direct benefit
374 (initial employment, retention, promotion, salary, leave of absence, etc.) to a member of the
375 individual's immediate family. "Immediate family" includes an individual's spouse, ancestors and
376 descendants, all descendants of the individual's grandparents, and the spouse of any of the foregoing.

377 Each [vice president/chancellor] chancellor/vice president shall develop for the approval of the
378 president campus procedures to insure against such conflict of interest.

379 **Section 3. Appointments, Ranks, and Promotions of the Academic and Administrative Staff**

380 a. All appointments, reappointments, and promotions of the academic staff, as defined in
381 Article IX, Section 4a, shall be made by the Board of Trustees on the recommendation of the [vice
382 president/chancellor] chancellor/vice president concerned and the president. All appointments,
383 reappointments, and promotions of the administrative staff shall be made by the Board of Trustees on
384 the recommendation of the [vice president/chancellor] chancellor/vice president concerned if a
385 campus-level officer is involved and the president.

386 c. The following ranks, and only these ranks, of the academic staff as defined in Article IX,
387 Section 4a, are subject to the provisions of Article X, Section 1: professor, associate professor, and
388 assistant professor. Modifying terms such as "research," "adjunct," "clinical," and "visiting" may be
389 used in conjunction with these academic ranks (e.g., "research professor," "adjunct assistant
390 professor," "clinical associate professor," "visiting professor"); but no appointment for an indefinite
391 term may be made in which a modifying term is used in the academic rank. Furthermore, an
392 appointment in which a modifier is used in the title will not count toward completion of the
393 probationary period, as provided in Article X, Section 1, unless specially recommended by the
394 executive officer of the unit and approved by the dean and by the [vice president/chancellor]
395 chancellor/vice president or an officer authorized to act for the [vice president/chancellor]
396 chancellor/vice president.

397 d. Recommendation to positions on the academic staff shall ordinarily originate with the
398 department or in groups not organized as departments with the officers in charge of the work
399 concerned and shall be presented to the dean of the college for transmission with the dean's
400 recommendation to the [vice president/chancellor] chancellor/vice president. Whenever the
401 appointment or promotion of members of the academic staff is involved, the dean before making a
402 recommendation shall consult the chair or the head of the department after confirming that intra-
403 departmental consultation procedures have been satisfied; if the college has no departments, the dean
404 shall consult the executive committee of the college. If the appointment involves a person who may
405 be expected to offer courses carrying graduate credit, the dean of the college shall consult the dean of
406 the Graduate College, who shall have the right to make an independent recommendation to the [vice
407 president/chancellor] chancellor/vice president, and to the president.

408 **Section 4. Principles Governing Employment of Academic and Administrative Staffs**

409 a. The academic staff which conducts the educational program shall consist of the
410 teaching, research, scientific, counseling, and extension staffs; deans and directors of colleges, schools,
411 institutes, and similar campus units; editors, librarians, and such other members of the staff as are
412 designated by the president and the [vice presidents/chancellors] chancellors/vice presidents.

413 e. The academic year shall consist of that period of the year so determined by the
414 appropriate senate and approved by the appropriate [vice president/chancellor] chancellor/vice
415 president, the president, and the Board of Trustees.

416 **Section 5. Services Rendered the University**

417 a. No person employed on a full-time basis on the instructional or administrative staffs of
418 the University shall be assigned any other university work which does not naturally come within the
419 scope of that person's duties and for which additional compensation is to be paid without the prior
420 approval of the [vice president/chancellor] chancellor/vice president.

421 c. Full-time employees shall not receive compensation for services with the University in
422 excess of a normal schedule except for a reasonable amount of instruction in continuing education
423 and public service programs or for the grading of special examinations (outside regular course work)
424 stipulated by the University, all to be done at a time that does not conflict with other university

425 duties. Exceptions may be made to this rule in special cases which are approved by the dean of the
426 college of which the employee is a member provided that if such additional payments exceed a
427 nominal amount the advance approval of the [vice president/chancellor] chancellor/vice president
428 shall be secured. These exceptions shall be held to a minimum.

429 **Section 6. Severe Sanctions Other Than Dismissal for Cause for Members of the Faculty**

430 a. Severe sanctions other than dismissal for cause may be imposed on a member of the
431 faculty, as defined in Article II, Section 3a(1) of the *Statutes*, provided that procedures on a campus
432 adopted by the campus [vice president/chancellor] chancellor/vice president in consultation with
433 that campus senate are followed. In all cases, the [vice president/chancellor] chancellor/vice
434 president or the [vice president/chancellor] chancellor/vice president's designee shall exercise the
435 duties assigned to the President for academic staff who are members of campus units, and in all cases
436 the process to be followed will be that of the campus on which the unit resides.

437 b. Campus procedures shall include, at a minimum,

438 (5) The opportunity for the faculty member to file an appeal with the [vice
439 president/chancellor] chancellor/vice president within 20 days following the provost's or equivalent
440 officer's decision to impose sanctions,

441 (6) An appeal process encompassing both substantive and procedural objections, and

442 (7) A process wherein the [vice president/chancellor] chancellor/vice president's
443 decision on the merits of an appeal is final.

444 **Section 7. Leaves of Absence for Members of the Faculty**

445 a. On the recommendation of the head or chair of a department with the concurrence of
446 the dean of the college or on recommendation of the dean or director of an independent campus unit
447 and subject to approval by the [vice president/chancellor] chancellor/vice president, the president,
448 and the Board of Trustees a member of the faculty who has the rank of professor, associate professor,
449 or assistant professor and who has served the University for the periods indicated below on full-time
450 appointment as an assistant professor or in higher rank since the faculty member's original
451 appointment or since the termination of that faculty member's last leave on salary is eligible to apply
452 for and may be granted a sabbatical leave of absence with pay for the purpose of study, research, or
453 other pursuit, the object of which is to increase the faculty member's usefulness to the University. The
454 following options are available:

455 c. Service credit for leave of absence with pay is not cumulative unless otherwise provided
456 for in special cases. Each person who has been on leave of absence shall on the termination of the
457 leave make a report through the usual official channels of communication to the [vice
458 president/chancellor] chancellor/vice president concerning the nature of the studies, research, or
459 other work undertaken during the period of absence.

460 e. Leaves of absence granted in accordance with the foregoing terms and conditions, with
461 the privileges pertaining thereto, are given to members of the faculty primarily for the purpose of
462 enabling them to acquire additional knowledge and competency in their respective fields. No one to
463 whom a leave of absence with pay has been granted shall be permitted while on such leave to accept
464 remunerative employment or engage in professional practice or work for which pecuniary
465 compensation is received. This prohibition, however, shall not be construed to forbid a faculty
466 member while on leave from giving a limited number of lectures or doing a limited amount of work.
467 But, in such cases, the approval of the [vice president/chancellor] chancellor/vice president to the
468 giving of the lectures or the doing of other work shall be required. Nor shall the prohibition be
469 interpreted to forbid the acceptance by a faculty member, while on leave, of a scholarship or
470 fellowship carrying a stipend for the purpose of study, research, or scientific investigation or the
471 acceptance of a grant of money made for such purposes, provided that the acceptance of the grant

472 does not impose on the recipient duties and obligations the performance of which would be
473 incompatible with the pursuit of the general purpose for which leaves of absence are granted.

474 g. Leaves of absence without pay. On the recommendation of the head or chair of a
475 department with the concurrence of the dean of the college or on the recommendation of the dean or
476 director of an independent campus unit, a member of the faculty may be granted a leave of absence
477 without pay by the [vice president/chancellor] chancellor/vice president for a period of one year or
478 less. Such a leave may be renewed in special circumstances ordinarily for not more than one year. As
479 recommended and agreed upon in advance, time spent on a leave of absence without pay under
480 circumstances which allow for the pursuit of academic activities ordinarily counts toward the
481 probationary period of a faculty member on definite tenure, while time spent on a leave of absence
482 without pay under circumstances which do not allow for the pursuit of academic activities does not
483 ordinarily count toward the probationary period of a faculty member on definite tenure. As
484 recommended and agreed upon in advance, time spent on a leave of absence without pay under
485 circumstances which do not provide service to this University does not ordinarily count in
486 establishing eligibility for a sabbatical leave with pay.

487 Section 9. Privileges of Retired Members of the Academic Staff

488 a. A retired staff member who is provided with research assistance shall at the end of each
489 academic year report to the [vice president/chancellor] chancellor/vice president, in at least general
490 terms, on the work accomplished during the year. In no case may a research assistant be provided to
491 a retired staff member for a longer period than one year at a time and such assistant may be
492 continued only if the annual report of work shows progress or promise.

493 **b.** With the approval of the department head or chair and of the dean of the Graduate
494 College and of the [vice president/chancellor]chancellor/vice president, a retired faculty member
495 may offer conferences with graduate students if such retiree had offered similarly related graduate
496 courses before retirement.

497 Section 11. Employment of Academic Professional Staff

498 6. Excepted from the above provisions are the following administrative officers: the
499 President of the University; [vice presidents/chancellors] chancellors/vice presidents, provosts or
500 equivalent officers, and vice chancellors; the officers of the Board of Trustees who are University
501 employees; other university officers; and the deans, directors, heads, and chairs of academic units.
502 Academic professional staff whose title includes "visiting," "acting," "interim," or "adjunct" are also
503 excepted from the above provisions.

**504 Section 12. Dismissal of Academic Staff with Multi-Year Appointments Under Article X,
505 Section 1(a), Paragraphs (6) and (7)**

506 a. Members of the academic staff with multi-year appointments, as defined under Article
507 X, Section 1(a), Paragraphs (6) and (7), of the *Statutes*, may be dismissed for cause prior to the
508 conclusion of the multi-year appointment in accordance with campus procedures, which shall be
509 adopted by each [vice president/chancellor] chancellor/vice president in consultation with the
510 applicable campus senate. In all cases, the [vice president/chancellor] chancellor/vice president or
511 the [vice president/chancellor] chancellor/vice president's designee shall exercise the duties assigned
512 to the president for academic staff who are members of campus units, and in all cases the process to
513 be followed will be that of the campus on which the unit resides.

514 ARTICLE X. ACADEMIC FREEDOM AND TENURE

515 Section 1. Tenure of Academic Staff

516 a. Except under unusual circumstances evidenced by a special written agreement
517 approved by the President of the University and the appointee, the tenure status for the academic

518 ranks of professor, associate professor, and assistant professor shall be as provided in this section. The
519 parts of Article X, Sections 1a and 1b, hereof relating to the probationary period or indefinite tenure
520 do not apply to academic ranks other than those mentioned in the preceding sentence; nor to
521 appointments at any rank which involve no salary or obligation to render services; nor to
522 appointments for fifty percent (50%) or less of full-time service at ranks other than professor or
523 associate professor; nor to appointments for less than seventy-five percent (75%) of full-time service
524 during any period when the appointee is a candidate for a degree at this University.

525 In the case of academic staff positions authorized in Article IX, Sections 3c and 4a other than
526 appointments at the rank of professor, associate professor, assistant professor, dean, director,
527 department head, and department chair, appointments shall be for not longer than the terms specified
528 in this Section. Contracts shall be renewable at the discretion of the hiring unit. Except as provided in
529 Sections 7 and 8, below, notice of nonreappointment is not required. Dismissal prior to the end of the
530 contract term shall be governed by Article IX, Section 12.

531 Each [vice president/campus chancellor] chancellor/vice president shall, with the advice and consent
532 of the local campus senate, develop implementing procedures for multi-year contract appointments
533 governed by this Section. Such implementing procedures shall include, at a minimum, (i) a binding
534 ceiling, on a campus-wide basis, on the proportion of multi-year contract appointments to the sum of
535 multi year contract appointments and appointments that are tenured or earning probationary credit
536 toward tenure; (ii) assignment of oversight responsibility to an appropriate campus senate committee;
537 and (iii) the procedures for dismissal required under Article IX, Section 12(b), above.

538 **b.** Upon the completion of a probationary period as hereafter defined, any reappointment shall be for
539 an indefinite term, subject to the following:

540 (1) An appointee receiving a first contract for more than fifty percent (50%) of full-time service at this
541 University as assistant professor enters a probationary period not to exceed seven academic years of
542 service except when, by special written agreement between the appointee, the unit administrator and
543 the [vice president/campus chancellor] chancellor/vice president, the appointee is granted a one-year
544 interruption of the probationary period before the year in which a decision on the appointment to
545 indefinite tenure is expected to be made. Ordinarily no more than two such interruptions will be
546 granted. Prior academic service at other academic (or equivalent) institutions may be counted up to a
547 maximum of three years toward the fulfillment of the probationary period. The amount of any such
548 service counted may be negotiated as may other terms of the appointment and shall be stated in the
549 first appointment contract, as provided for all contracts for definite terms in subparagraph 1b(5)
550 below. An initial appointment that begins after the eighth week of the academic year ordinarily does
551 not count toward the probationary period of a faculty member on definite tenure nor does it
552 ordinarily count as service in establishing eligibility for a sabbatical leave with pay, unless
553 recommended and agreed upon in advance.

554 **ARTICLE XI. STUDENT AFFAIRS AND DISCIPLINE**

555 **Section 1. Student Affairs**

556 b. Upon recommendation of the [vice president/chancellor] chancellor/vice president and
557 the president, the Board of Trustees may appoint annually a vice chancellor or other officer who shall
558 have general supervision over those services provided on that campus to assist students in their
559 personal and social development. The responsibility and authority of this officer shall be determined
560 by the [vice president/campus chancellor] chancellor/vice president. On the occasion of each
561 appointment of any such officer, the [vice president/chancellor] chancellor/vice president shall seek
562 the advice of the executive committee of the campus senate. The executive committee shall ensure the
563 opportunity for substantial student involvement in the development of its advice.

565 **Section 1. Campus Research Board**

566 a. The Campus Research Board shall consist of eight to twelve members appointed by the
567 [vice president/chancellor] chancellor/vice president after consultation with the vice chancellor
568 responsible for research, the dean of the graduate college, and with the leadership of that campus's
569 senate. The vice chancellor responsible for research shall chair the committee. The appointment
570 process to and membership on the Campus Research Board may differ in campuses without a
571 graduate college.

572 b. The functions of the board include: (1) making recommendations concerning policies for
573 distribution of research board funds; (2) making assignments of research board funds to individual
574 and group research projects; (3) advising the [vice president/chancellor] chancellor/vice president
575 and the vice chancellor responsible for research on any other matters submitted to the board.

576 **ARTICLE XIII. GENERAL PROVISIONS**577 **Section 1. Exchange Professors**

578 On the recommendation of the head or the chair of a department and with the approval of the
579 dean, the [vice president/chancellor] chancellor/vice president, the president, and the Board of
580 Trustees, a professor, associate professor, or assistant professor may be permitted for a period of not
581 more than one year to exchange positions with a professor of approximately equal rank in another
582 university provided the arrangement does not involve substantial increase in the cost of instruction.
583 The professor with whom the exchange is made shall during the period of service to this University
584 be subject to the rules governing appointments and conditions of service applicable to regular
585 members of the faculty.

586 **Section 2. Privileges for Scholars from Other Universities**

587 The [vice presidents/chancellors] chancellors/vice presidents of the University may extend
588 the privilege of working without charge in the various laboratories or libraries of the respective
589 campus to members of the faculties of other colleges or universities, provided that they are
590 recognized as authorities in their respective fields and come to the campus with written credentials
591 from the faculties of their institutions or from their governments asking that they be received as
592 guests.

593 **Section 3. Annual Reports**

594 On or before the first day of September in each year, each dean and director and the chief
595 executive officer of each department or equivalent unit on each campus shall make to the [vice
596 president/chancellor] chancellor/vice president an annual report, treating fully the work of the
597 college, school, institute, division, or department. Any of these officers may make reports or advance
598 suggestions at any time and shall report to the [vice president/chancellor] chancellor/vice president
599 and to the president whenever requested to do so. Officers of the university-level administration and
600 [vice presidents/chancellors] chancellors/vice presidents shall make such reports as the president
601 shall require.

602 **Section 4. Reports and Communications**

603 d. All communications from members of the staff to be presented as part of the agenda at a
604 meeting of the Board of Trustees or transmitted to the Board of Trustees or any committee thereof
605 shall first be presented to the [vice president/chancellor] chancellor/vice president where
606 appropriate and to the president for their examination, comment, and recommendation. Whenever
607 appropriate, the staff member shall be informed of all such reactions and may respond to them.