

UNIVERSITY OF ILLINOIS
URBANA-CHAMPAIGN SENATE
Committee on University Statutes and Senate Procedures
(Final; Action)

SP.15.13 General Revisions to the *Statutes* Motions #4 and #5

BACKGROUND

In August 2012, the Governance, Personnel, and Ethics Committee of the Board of Trustees requested an overall review of the *University Statutes*. The Board authorized an ad hoc committee to conduct this review. That committee consisted of faculty from all three campuses, as well as various University administrators. The Statutes and Governance subcommittee of the University Senates Conference (USC) also participated in the review process. The Board's committee on Governance, Personnel, and Ethics reviewed the proposed changes, and this October, asked USC to seek the approval of the changes from the campus senates. At the October 20, 2014 Senate meeting, item UC.15.03 communicated a redline/strikeout version of the *Statutes* for consideration. To correct for a clerical error, the Senate Committee on University Statutes and Senate Procedures (USSP) was later provided with an October 24, 2014 updated version of the proposed revisions.

As mandated by the *Bylaws* of the Urbana-Champaign Senate, USSP has conducted its own review of these recommended revisions, prior to their being submitted to the Senate to vote on its advice to the Board, as provided by Article XIII, Section 8 b of the *Statutes*. The suggested changes are extensive, affecting every Article of the *Statutes*. Some of them are simply editorial adjustments, clarifying ambiguous language, for example, but some proposals would make more substantive changes.

In the course of its review, USSP found the vast majority of the changes to be appropriate and advisable, but it had specific concerns about some of the proposed changes, and these are identified with this motion. In a similar vein, at the December 8, 2014 Senate meeting, USSP presented its recommendations on the first two batches of proposed revisions.

For the Senate to consider these amendments in an orderly way, USSP will offer several resolutions covering different sections of the revisions. Because of the extent of the changes being proposed, USSP has not yet completed its review of all of the proposed changes. However, to ensure progress, it wishes to bring those sections which it has readied for action to the Senate for discussion and action, and USSP has now completed work on the fourth and fifth batches of the changes. The committee intends to report to the Senate in several additional motions, asking for votes batch by batch over the next few Senate meetings. At the end of the process, the USSP or the Senate may return to any earlier batches if issues in the later ones would affect the content of earlier ones.

Attached is the text of the *Statutes* related to this portion of the review with redline/strikeout markings to illustrate the revisions emerging from the Board of Trustees review and below are USSP's recommendations for action to be taken by the Senate.

RECOMMENDATION ONE

The Senate Committee on University Statutes and Senate Procedures recommends approval, subject to any later amendments that may be necessary, of the revisions to the *University Statutes* as contained in Motion 4 (lines 336-403, i.e., Article II, Section 4 [Faculty Advisory Committee]) with the exceptions identified below. For these exceptions, we note the Board's proposed change, followed by USSP's recommendation. USSP has consulted with FAC on these revisions, and FAC concurs with the USSP recommendations. Text to be added is underscored and text to be deleted is indicated by ~~strikeout~~ (e.g., ~~sample text for deletion~~).

1. Lines 346 and 350: Delete "these" and replace with "its." The Faculty Advisory Committee (FAC) expressed concern that the proposed use of "these" seems to restrict the committee's functions,

investigations, and deliberations to only those that originate outside of FAC, which would be inconsistent with FAC practice.

2. Line 378: USSP recommends deleting “rules of procedure” and replacing it with “articles of procedure.”
3. Line 380: On the advice of FAC, USSP recommends the insertion of the following new sentence: “The committee shall define, in its articles of procedure, the size of its membership and a method for filling vacancies that occur between regular elections.”
4. Lines 383-386: USSP recommends minor revisions in wording and the insertion of an additional sentence to read: “The committee shall report on the broad nature of its activities and recommendations to the senate and the faculty as it deems appropriate, but at least once a year. These reports shall maintain the confidentiality of individual personnel cases. Confidential reports of findings from individual cases may be conveyed to unit executive officers, to deans, to the provost, to the chancellor/vice president, and/or to the president, as appropriate to the nature of the case.” USSP agrees with FAC’s practice that while it treats the details of the cases it handles as confidential, as a practical matter, when it is making recommendations to resolve disputes and grievances, the resolution often cannot proceed without substantive reporting to the parties involved.
5. Lines 395-398: On the advice of FAC, USSP recommends restoring the sentence: “In performing its functions, the committee upon the request of the chancellor/vice president, the president, or any member of the academic staff (as defined in Article IX, Sections 4a and 3c), or upon its own initiative shall make such investigations and hold such consultations as it may deem to be in the best interest of the University.”

RECOMMENDATION TWO

The Senate Committee on University Statutes and Senate Procedures recommends approval, subject to any later amendments that may be necessary, of the revisions to the *University Statutes* as contained in Motion 5 (lines 406-804, i.e., Article II, Section 5 through Article IV, Section 4) with the exceptions identified below. For these exceptions, we note the Board’s proposed change, followed by USSP’s recommendation. Text to be added is underscored and text to be deleted is indicated by ~~strikeout~~ (e.g., ~~sample text for deletion~~).

1. Line 505 [re the college Executive Committee]: USSP expresses a continued objection to this revision which would make it possible for the college faculty to amend the college bylaws so as to undercut the scope of the authority and responsibility of the college executive committee to “advise the dean on the formulation and execution of college policies.” This would seem to be the essence of the duty and authority of the college executive committee.
2. Line 675: USSP suggests the insertion of an additional sentence at the end of Section 1(c): “A reorganization of a department from a chair to a head or a head to a chair may be accomplished only by Section 4 of this Article.” This is to make clear the purpose of Section 4; see below.
3. Lines 791-804 [re Article IV, Section 4]: The rationale provided states that the section was recommended for deletion because it conflicts with Article VIII, Section 4. USSP notes that there is no such conflict because lines 793-804 refer only to changes of department organization from chair to head or from head to chair. That type of a change is not covered in Article VIII. USSP therefore recommends retaining the text in lines 793-804 as it presently exists in the *Statutes*. The addition to Section 1(c) recommended above should clarify this point.

UNIVERSITY STATUTES AND SENATE PROCEDURES
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299 ~~a unit may grant specified faculty privileges to selected faculty of other units. The bylaws may~~
300 ~~also grant specified faculty privileges to members of the academic staff of the unit or of other~~
301 ~~units who are not included in subsection 1 above (i.e., neither tenured nor receiving probationary~~
302 ~~credit toward tenure), and who have the rank or title of professor, associate professor, assistant~~
303 ~~professor, instructor, or lecturer. The bylaws may also grant specified faculty privileges to~~
304 ~~members of the academic staff of the unit or of other units who have the rank or title of~~
305 ~~professor, associate professor, assistant professor, instructor, or lecturer modified by the terms~~
306 ~~“research,” “adjunct,” “clinical,” “visiting” and/or “emeritus” (e.g., “research professor,”~~
307 ~~“adjunct assistant professor,” “clinical associate professor,” “visiting professor”). Only~~
308 ~~academic staff with titles listed above may be extended faculty privileges. Voting on these~~
309 ~~provisions of the bylaws is limited to those named in subsection (1) above.~~

310
311 **b.** As the responsible body in the teaching, research, and scholarly activities of the
312 University, the faculty, ~~both tenure-track and non-tenure-track, have has~~ inherent interests and
313 rights in academic policy and governance; ~~however, these interests and rights are not identical,~~
314 ~~and the status of tenure-track faculty grants them a distinct governance role.~~— Each college or
315 other academic unit shall be governed in its internal administration by its tenure-track faculty, as
316 defined in Section 3a (1) above, with additional unit governance privileges for non-tenure-track
317 faculty as may be explicitly provided under Section 3a (3) above.— Governance of each academic
318 unit shall be based on unit bylaws established and amended by the tenure-track faculty of that
319 unit, which may include specific unit governance privileges designated in the bylaws for non-
320 tenure-track faculty.— The bylaws shall provide for the administrative organization and procedure
321 of the unit, including the composition and tenure of executive or advisory committees, ~~— Except~~
322 ~~that they may not conflict with these *Statutes*, or other specific actions of the Board of Trustees,~~
323 ~~or with the bylaws of a unit which encompasses it, the details of the bylaws are left to the faculty~~
324 ~~of the unit.~~

325
326 **Rationale: This section was revised to reflect the current functions of the Faculty Advisory**
327 **Committees (FACs) on the campuses where they are active. It was also reorganized in**
328 **order to explain all aspects of the FAC’s function first, and then to describe its operation.**
329 **Finally, details about the precise composition of the committee on each campus were**
330 **deleted, to allow the campuses to set their own policies, except for two general provisions:**
331 **that the FAC not include those holding administrative posts (the definition of such having**
332 **been refined to specify those who are likely to be in a supervisory position over potential**
333 **grievants); and that no more than two members of the FAC may belong to the same**
334 **college.**

335 336 **Section 4. Faculty Advisory Committee**

337
338 At each campus the faculty shall elect a Faculty Advisory Committee, which shall
339 provide a means for the orderly voicing of grievances or related concerns about the governance
340 and procedures of academic or administrative campus units. A member of the academic staff or
341 a retired member shall be entitled to a conference with the committee or with any member of it
342 on any matter properly within the purview of the committee. Academic employees who are
343 members of the Professional Advisory Committee electorate shall use the procedures outlined in
344 Section 5 of Article II. In addition, the committee may make confidential reports on personnel
345 matters at the request of the provost, the chancellor/vice-president, or the president. In

346 performing these functions, the committee shall make such investigations and hold such
347 consultations as it may deem to be in the best interest of the campus.

348
349 The committee may also make recommendations for changes to campus policies based on
350 these investigations and deliberations.~~Faculty advice and recommendations on University~~
351 ~~governance are traditionally provided to the administration through standing and ad hoc~~
352 ~~committees and representation in the senate. In addition, at each campus the faculty shall elect a~~
353 ~~Faculty Advisory Committee. The committee shall consist of nine faculty members on the~~
354 ~~Chicago and Urbana-Champaign campuses, three of whom shall be elected each year. The~~
355 ~~committee shall consist of seven faculty members on the Springfield campus, two of whom shall~~
356 ~~be elected each year and the seventh every third year. The three-year terms will commence on~~
357 ~~the first day of the academic year following the election.~~

358
359

360 Each campus senate shall determine eligibility for membership on the Faculty Advisory
361 Committee for its campus from among the members of the Faculty Advisory Committee
362 electorate, ~~except that those who hold administrative appointments at the level of department~~
363 ~~chair/head or above shall not be eligible for membership. excluding those who hold~~
364 ~~administrative appointments. Any eligible person may be nominated as a committee member by~~
365 ~~a petition signed by three members of the electorate and filed with the clerk or secretary of the~~
366 ~~senate. The deadline for filing shall be set by each campus senate. The clerk or secretary of the~~
367 ~~senate shall conduct the election as soon as possible thereafter. The eligible nominees for the~~
368 ~~number of seats to be filled receiving the highest number of votes shall be declared elected. If~~
369 ~~vacancies arise between regular elections, the eligible nominee with the next highest number of~~
370 ~~votes at the most recent election shall be declared a member of the committee. In the absence of~~
371 ~~any such nominee willing and able to serve, the vacancy shall be filled at the next regular~~
372 ~~election.~~

373
374 No more than two members of the committee may hold paid appointments in the same
375 college or in the same unit organized independently of a college.

376
377 The committee shall elect its own chair at its first meeting of each academic year. ~~The~~
378 ~~committee shall adopt its rules of procedure, copies whereof shall be sent to all members of the~~
379 ~~academic staff (as defined in Article IX, Sections 4a and 3c) and to the chancellor/vice president~~
380 ~~and the president. The committee shall make such reports to the chancellor/vice president, the~~
381 ~~president, the senate, and the faculty as it deems appropriate at least once a year.~~

382
383 ~~The committee shall report on its activities and recommendations to the senate and the~~
384 ~~faculty as it deems appropriate, but at least once a year. These reports shall maintain the~~
385 ~~confidentiality of individual personnel cases, but may describe the broad nature of cases~~
386 ~~presented to it. The committees shall provide for the orderly voicing of suggestions for the good~~
387 ~~of the University, afford added recourse for the consideration of grievances, and furnish a~~
388 ~~channel for direct and concerted communication between the academic staff (as defined in~~
389 ~~Article IX, Sections 4a and 3c) and the administrative officers of the University, its colleges,~~
390 ~~schools, institutes, divisions, and other administrative units on matters of interest or concern to~~
391 ~~the academic staff (as defined in Article IX, Sections 4a and 3c) or any member of it. Academic~~
392 ~~staff members who are members of the Professional Advisory Committee electorate shall use the~~
393 ~~procedures outlined in Section 5 of Article II.~~

394
395 ~~———— In performing its functions, the committee upon the request of the chancellor/vice~~
396 ~~president, the president, or any member of the academic staff (as defined in Article IX, Sections~~
397 ~~4a and 3c), or upon its own initiative shall make such investigations and hold such consultations~~
398 ~~as it may deem to be in the best interest of the University. A member of the academic staff (as~~
399 ~~defined in Article IX, Sections 4a and 3c), or upon its own initiative shall make such~~
400 ~~investigations and hold such consultations as it may deem to be in the best interest of the~~
401 ~~University. A member of the academic staff (as defined in Article IX, Sections 4a and 3c) or a~~
402 ~~retired member shall be entitled to a conference with the committee or with any member of it on~~
403 ~~any matter properly within the purview of the committee.~~

406 **Section 5. Professional Advisory Committee**

407
408 At each campus, the academic professional staff whose appointments as academic
409 professionals require at least 50 percent (50%) of full-time service shall elect a professional
410 advisory committee.—The academic professional staff consists of those staff members on
411 academic appointment whose positions have been designated by the president and the
412 chancellor/vice president as meeting specialized administrative, professional, or technical needs
413 in accordance with Article IX, Sections 3a, 3c, and 4a.
414

415 Any member of the professional advisory committee electorate shall be eligible for
416 membership.—University-level administration staff shall be members of the electorate of the
417 campus at which their principal office is located.—Each chancellor/vice president (or the
418 president in the case of university-level administration staff members) after consultation with the
419 body may identify senior administrative officers to be excluded from the electorate.
420

421 Bylaws and articles of procedure covering such matters as name of the body, nomination
422 and election of members and officers, size of the body, and terms of office shall be developed at
423 each campus and after approval by the chancellor/vice president made available to the members
424 of the electorate.
425

426 The body shall provide for the orderly voicing of suggestions for the good of the
427 Universitycampus, afford added recourse for the consideration of grievances, and furnish a
428 channel for direct and concerted communication between the academic professional staff and the
429 administrative officers of the Universitycampus, its colleges, schools, institutes, divisions, and
430 other administrative units on matters of interest or concern to the academic professional staff or
431 any member of it.—The body shall report to the chancellor/vice president, the president, and the
432 academic professional staff at least once a year.
433

434 In performing its functions, the body upon the request of the chancellor/vice president,
435 the president, or any member of the academic professional staff, or upon its own initiative shall
436 make such investigations and hold such consultations as it may deem to be in the best interest of
437 the Universitycampus.—Any member or retired member of the academic professional staff shall
438 be entitled to a conference with the body or with any member of it on any matter properly within
439 the purview of the body.
440
441

442 **ARTICLE III. CAMPUSES, COLLEGES, AND SIMILAR**
443 **CAMPUS UNITS**
444

445 **Section 1. The Campus**
446

447 a. The campus is the largest educational and administrative group. It is composed of
448 colleges, schools, institutes, and other educational units in conjunction with administrative and
449 service organizations.
450

451 | **b.** The legislative body for the campus shall be the campus senate, as provided in
452 | Article II, Section 1.

453 |
454 | **c.** The transfer of any line of work or any part thereof from one campus to another
455 | shall be made on the recommendation of the senates and chancellors/vice presidents of the
456 | campuses involved, the University Senates Conference, and the president upon approval by the
457 | Board of Trustees.

458 |
459 | **d.** The chancellor/vice president, under the direction of the president, shall be the
460 | chief executive officer of the campus, as provided in Article I, Section 5.

461 |
462 | **e.** At each campus, ~~There shall be a provost and vice chancellor for academic affairs~~
463 | ~~or equivalent officer at each campus who shall be~~ who will serve as the chief academic officer
464 | under the chancellor/vice president ~~for each campus~~ and who will serve as chief executive officer
465 | in the absence of the chancellor/vice president.

466 |
467 | **f.** There may be additional vice chancellors with campus-wide responsibilities and
468 | other administrative officers with responsibilities and duties as delegated by the chancellor/vice
469 | president.

470 |
471 | **g.** Vice chancellors shall be appointed annually by the Board of Trustees on the
472 | recommendation of the chancellor/vice president and with the concurrence of the president. ~~–~~ The
473 | chancellor/vice president shall on the occasion of each appointment seek the advice of the
474 | executive committee of the campus senate. ~~–~~ The executive committee may seek the counsel of
475 | other campus bodies in preparing its advice.

476 |
477 | **Section 2. The College**

478 |
479 | **a.** The college is an educational and administrative group comprised of departments
480 | and other units with common educational interests.

481 |
482 | **b.** The faculty of a college shall be constituted as specified in Article II,
483 | Section 3a (1). ~~–~~ The college shall be governed in its internal administration by its faculty under
484 | bylaws established by the faculty, as specified in Article II, Section 3b.

485 |
486 | **c.** Subject to the jurisdiction of the senates as provided in Article II, Section 1, the
487 | college shall have jurisdiction in all educational matters falling within the scope of its programs,
488 | including the determination of its curricula, except that proposals which involve budgetary
489 | changes ~~shall become effective only when~~ are subject to the approval of the chancellor/vice
490 | president ~~has approved them.~~ ~~–~~ The college has the fullest measure of autonomy consistent with
491 | the maintenance of general university educational policy and correct academic and
492 | administrative relations with other divisions of the University. ~~–~~ In questions of doubt concerning
493 | the proper limits of this autonomy between the college and the senate, the college shall be
494 | entitled to appeal to the chancellor/vice president for a ruling.

495 |
496 | **d.** The transfer of any line of work or any part thereof to or from a college or to or
497 | from some other educational or administrative group within a campus shall be made on the

498 recommendation of the appropriate senate and the chancellor/vice president and on approval of
499 the president.

500
501 e. The faculty of a college shall elect its secretary and committees.

502
503 f. An executive committee of two or more members elected annually by and from the
504 faculty of the college by secret written ballot shall be the primary advisory committee to the dean
505 of the college. ~~Unless otherwise provided by the faculty of the college, it shall~~ advise the dean
506 on the formulation and execution of college policies and unless otherwise provided by the faculty
507 of the college on appointments, reappointments, nonreappointments, and promotions ~~and~~. It shall
508 also transact such business as may be delegated to it by the faculty. ~~The faculty may determine~~
509 the size of its executive committee and may choose to elect its members for two- or three-year
510 staggered terms. ~~Not more than one-half of the membership of the executive committee shall be~~
511 from one department or comparable teaching unit of the college. ~~The dean is ex officio a~~
512 member and chair of the committee. ~~While the executive committee is in session to prepare its~~
513 advice on appointment of the dean or to review the dean's performance, the dean shall not be a
514 member and the committee shall be chaired by a committee member elected by the committee
515 for that purpose.

516

517 **Section 3. The Dean**

518

519 a. The dean is the chief executive officer of the college, responsible to the
520 chancellor/vice president for its administration, and is the agent of the college faculty for the
521 execution of college educational policy.

522

523 b. The dean shall be appointed annually by the Board of Trustees on recommendation
524 by the chancellor/vice president ~~and with the concurrence of~~ the president. ~~On the occasion of~~
525 each recommendation, the chancellor/vice president shall seek the prior advice of the executive
526 committee of the college concerned. ~~The performance of the dean shall be evaluated at least~~
527 once every five years in a manner to be determined by the college faculty.

528

529 c. On recommendation of the dean and the chancellor/vice president, the president
530 may appoint annually associate or assistant deans as required.

531

532 d. The dean shall (1) call and ordinarily preside at meetings of the college faculty to
533 consider questions of college and departmental governance and educational policy at such times
534 as the dean or the executive committee may deem necessary but not less frequently than once in
535 each academic year; (2) formulate and present policies to the faculty for its consideration, but
536 this shall not be interpreted to abridge the right of any member of the faculty to present any
537 matter to the faculty; (3) make reports on the work of the college; (4) oversee the registration and
538 progress of the students in the college; (5) be responsible for the educational use of the buildings
539 and rooms assigned to the college and for the general equipment of the college as distinct from
540 that of the separate departments; (6) serve as the medium of communication for all official
541 business of the college with other campus authorities, the students, and the public; (7) represent
542 the college in conferences, except that additional representatives may be designated by the dean
543 for specific conferences; (8) prepare the budget of the college in consultation with the executive
544 committee of the college; and (9) recommend the appointment, reappointment,

545 | nonreappointment, and promotion of members of the academic staff.—Regarding appointments,
546 | reappointments, nonreappointments, and promotions, the dean shall consult with the appropriate
547 | departmental chair(s) and executive committee(s), or department head(s) who shall provide the
548 | dean with the advice of the advisory committee or other appropriate committee as specified in
549 | the department bylaws.—Recommendations to positions on the academic staff shall ordinarily
550 | originate with the department, or in the case of a group not organized as a department with the
551 | person(s) in charge of the work concerned and shall be presented to the dean for transmission
552 | with the dean’s recommendation to the chancellor/vice president.—In case a recommendation
553 | from a college is not approved by the chancellor/vice president, the dean may present the
554 | recommendation to the president, and, if not approved by the president, the dean with the consent
555 | of the Board of Trustees may present the recommendation in person before the Board of Trustees
556 | in session.
557 |

558 | **Section 4. The School and Similar Campus Units**

559 |
560 | **a.** In addition to colleges and departments, there may be other units of a campus, such
561 | as a school, institute, center, hospital, and laboratory, of an intermediate character designed to
562 | meet particular needs.

563 |
564 | **b.** Such a unit organized independently of a college shall be governed in the same
565 | manner as a college.

566 |
567 | **c.** The school organized within a college is an educational and administrative unit
568 | composed primarily of academic subunits.—The subunits are related and have common interests
569 | and objectives but emphasize academically distinct disciplines or functions.—The faculty of each
570 | subunit shall have the power to determine such matters as do not so affect relations with other
571 | subunits of the school or with units outside the school that those relations properly come under
572 | the supervision of larger administrative units.

573 |
574 | **d.** Governance of schools and similar campus units within a college:

575 |
576 | **(1)** The internal structure, administration, and governance of a school within a
577 | college shall be determined by its faculty under bylaws established by the faculty.—Bylaws of
578 | the school shall be consistent with those of the college.

579 |
580 | **(2)** The school has the fullest measure of autonomy consistent with the
581 | maintenance of general college and university educational policy and with appropriate academic
582 | and administrative relations with other divisions of the University.—In questions of doubt
583 | concerning the proper limits of this autonomy, the school may appeal directly to the dean and the
584 | executive committee of the college and shall be entitled to appeal subsequently to the
585 | chancellor/vice president.

586 |
587 | **(3)** An executive committee selected according to the bylaws of the school shall
588 | be the primary advisory body to the director of the school.—The director is *ex officio* a member
589 | and chair of the committee.—The executive committee shall advise the director on the
590 | formulation and execution of school policies and unless otherwise provided by the faculty of the
591 | school on appointments, reappointments, nonreappointments, and promotions.—It shall advise

592 | the director on the preparation of the budget.—The committee shall provide for the orderly
593 | voicing of suggestions for the good of the school, recommend procedures and committees that
594 | will encourage faculty participation in formulating policy, and perform such other tasks as may
595 | be assigned to it by the faculty of the school.—Any faculty member shall be entitled to a
596 | conference with the executive committee or with any member of it on any matter properly within
597 | the purview of the committee.—If the committee is in session to prepare its advice on
598 | appointment of the director or to review the director’s performance, the director shall not be a
599 | member, and the committee shall be chaired by a committee member elected by the committee
600 | for that purpose.

601 |
602 | (4) Departments within a school shall be governed as specified in Article IV
603 | except that communications and recommendations to the college, campus or the University shall
604 | be transmitted through the school for approval, comment, or information as appropriate.—Other
605 | subunits shall be governed by regulations set forth in the school bylaws.

606 |
607 | (5) Executive officers of departments or subunits of a school shall be evaluated
608 | at least once every five years in a manner to be determined by the faculty of the school and
609 | college.

610 |
611 | (6) An intermediate unit within a college, such as an institute, center, hospital, or
612 | laboratory in which academic staff appointments are made in accordance with Article X,
613 | Section 1, shall be governed as a department as specified in Article IV.—Other intermediate units
614 | within a college shall be governed as stated in the bylaws of the college.

615 | 616 | **Section 5. The Dean or Director of a School or Similar Campus Unit**

617 |
618 | a. In a school or similar campus unit independent of a college, the ~~chief~~ executive
619 | officer shall be a dean or director appointed annually by the Board of Trustees on the
620 | recommendation of the chancellor/vice president ~~and with the concurrence of~~ the president.—On
621 | the occasion of each recommendation, the chancellor/vice president shall seek the prior advice of
622 | the executive committee of the faculty concerned.—Within the school or similar campus unit, the
623 | duties of a director or a dean shall be the same as those of the dean of a college.—The
624 | performance of the dean or director shall be evaluated at least once every five years in a manner
625 | to be determined by the faculty of the unit.

626 |
627 | b. In a school or similar campus unit included within a college, the ~~chief~~ executive
628 | officer shall be a director appointed annually by the Board of Trustees on the recommendation of
629 | the dean of the college, the chancellor/vice president, ~~with the concurrence of~~ ~~and~~ the president.
630 | On the occasion of each recommendation, the dean shall seek the prior advice of the executive
631 | committee of the unit.—The director shall (1) call and ordinarily preside at meetings of the
632 | school faculty to consider questions of school and subunit governance and educational policy at
633 | such times as the director or the executive committee may deem necessary but not less frequently
634 | than once in each academic year; (2) formulate and present policies to the faculty for its
635 | consideration, but this shall not be interpreted to abridge the right of any member of the faculty
636 | to present any matter to the faculty; (3) make reports on the work of the school; (4) have general
637 | supervision of the work of students in the school; (5) be responsible for the educational use of
638 | the buildings and rooms assigned to the school and for the general equipment of the school as

639 distinct from that of the separate subunits; (6) serve as the medium of communication for all
640 official business of the school with the college, the students, and the public; (7) represent the
641 school in conferences except that additional representatives may be designated by the director for
642 specific conferences; (8) prepare the budget of the school in consultation with the executive
643 committee of the school; and (9) recommend the appointment, reappointment,
644 nonreappointment, and promotion of members of the academic staff.— Regarding
645 recommendations of appointments, reappointments, nonreappointments, and promotions of the
646 members of the faculty, the director shall consult with the department’s or subunit’s executive
647 officer who shall provide the director with the advice of the appropriate committee(s).— Such
648 recommendations shall ordinarily originate with the subunit or in the case of a group not
649 organized as a subunit with the person(s) in charge of the work concerned and shall be presented
650 to the director for transmission with the director’s recommendation to the dean of the college.
651 The performance of the director shall be evaluated at least once every five years in a manner to
652 be determined by the faculty of the school and college.
653
654

655 **ARTICLE IV. DEPARTMENTS**

656

657 **Section 1. The Department**

658

659 **a.** The department is the primary unit of education and administration within the
660 University.— It is established for the purpose of carrying on programs of instruction, research,
661 and public service in a particular field of knowledge.— The staff of a department includes persons
662 of all ranks who upon the recommendation of its head or chair are appointed or assigned to it.
663 The faculty of a department shall be as specified in Article II, Section 3a of these *Statutes*.— All
664 appointments which carry academic rank, title, or tenure indicative in any way of departmental
665 association shall be made only ~~after~~with the concurrence of the department(s) concerned.
666

667 **b.** The department has the fullest measure of autonomy consistent with the
668 maintenance of general college and university educational policy and correct academic and
669 administrative relations with other divisions of the University.— Should a dispute arise between
670 the department and another unit of the campus concerning the proper limits of this autonomy, the
671 department may appeal for a ruling directly to the dean and the executive committee of the
672 college and, when the chancellor/vice president considers it proper, to the chancellor/vice
673 president, who shall make a decision after appropriate consultation.
674

675 **c.** A department may be organized either with a chair or with a head.
676

677 **Rationale: Here and in other similar sections to follow the language has been modified to**
678 **highlight the chancellor/vice president’s responsibility for campus affairs, subject to the**
679 **authority of the president. Here, and in Article IV Section 3a, issues of the confidentiality of**
680 **the process are protected.**
681

682 **Section 2. Department Organized with a Chair**

683

684 **a.** The chair shall be appointed annually by the Board of Trustees on recommendation
685 of the chancellor/vice president ~~and with the concurrence of~~ the president after consultation with
686 the dean of the college and with the executive committee of the department concerned. ~~–~~ The
687 performance of the chair shall be evaluated at least once every five years. ~~–~~ As one component of
688 this evaluation, views shall be solicited from the entire department faculty in such a way as to
689 preserve confidentiality.

690
691 **b.** In each department organized with a chair, the executive committee shall
692 recommend individuals for academic appointment in the department. With the consent of the
693 executive committee or as specified in the department bylaws, persons who are not members of
694 the department faculty may be invited by the chair to attend meetings of the department faculty
695 but such persons shall have no vote.

696
697 **c.** The faculty of the department shall have power to determine such matters as do not
698 so affect relations with other departments or colleges that they properly come under the
699 supervision of larger administrative units.

700
701 **d.** In each department organized with a chair, there shall be an executive committee
702 elected annually by and from the faculty of the department by secret written ballot. ~~–~~ At least
703 one-half of the members of the departmental executive committee shall be elected from those
704 faculty members who have at least a 50-percent salaried appointment in the University. ~~–~~ The
705 faculty may choose to elect members of the executive committee for staggered two- or three-year
706 terms. ~~–~~ The chair of the department is *ex officio* a member and chair of the executive committee.
707 The chair and the executive committee are responsible for the preparation of the budget and for
708 such matters as may be delegated to them by the faculty of the department. ~~–~~ In a department
709 which has a faculty of not more than five members, the executive committee shall consist of the
710 entire faculty. ~~–~~ In all other cases, the size of the executive committee shall be determined by the
711 faculty of the department. ~~–~~ If the executive committee is in session to evaluate the chair's
712 performance, the chair shall not be a member and the committee shall be chaired by a committee
713 member elected by the committee for that purpose.

714
715 **e.** In each department organized with a chair, that officer shall be responsible for the
716 formulation and execution of departmental policies and the execution of University and college
717 policies insofar as they affect the department. ~~–~~ The chair shall have power to act independently
718 in such matters as are delegated to the chair by the executive committee. ~~–~~ The chair shall (1)
719 report on the teaching and research of the department; (2) have general oversight of the work of
720 students in the department; (3) collaborate with the executive committee in the preparation of the
721 budget and be responsible for the expenditure of departmental funds for the purposes approved
722 by the executive committee; and (4) call and preside at meetings of the executive committee and
723 at meetings of the department faculty of which there shall be not fewer than one in each
724 academic year for consideration of questions of departmental governance and educational policy.
725 The chair together with the executive committee is responsible for the organization of the work
726 of the department and for the quality and efficient progress of that work. ~~–~~ Any faculty member
727 shall be entitled to a conference with the executive committee or with any member of it on any
728 matter properly within the purview of the committee.

729
730 **f.** In the administration of the office, the chair shall recognize the individual
731 responsibility of other members of the department for the discharge of the duties committed to

732 them by their appointments and shall allow proper scope to the ability and initiative of all
733 members of the department.
734
735

736 **Section 3. Department Organized with a Head**

737

738 a. The head of a department shall be appointed without specified term by the Board of
739 Trustees on recommendation by the chancellor/vice president ~~and with the concurrence of~~ the
740 president after confidential consultation with the dean of the college and ~~all each~~ members of the
741 department faculty. ~~–~~ The head may be relieved of title and duties as head of the department by
742 the chancellor/vice president on the recommendation of the dean of the college. ~~–~~ The
743 performance of the head shall be evaluated at least once every five years. ~~–~~ As one component of
744 this evaluation, views shall be solicited from the entire department faculty in such a way as to
745 preserve confidentiality.

746

747 b. In each department organized with a head, the head in consultation with the
748 advisory committee shall recommend individuals for academic appointment in the department.
749 In consultation with the advisory committee or as specified in the department bylaws, the head
750 may invite other persons who are not members of the department faculty to attend meetings of
751 the department faculty, but such persons shall have no vote.

752

753 c. The head of the department shall have the power to determine such matters as do
754 not affect other departments or properly come under the supervision of larger administrative
755 units.

756

757 d. In each department organized with a head, the head shall have general direction of
758 the work of the department. ~~–~~ The head shall (1) consult with the departmental advisory
759 committee in regard to departmental policy; (2) consult with each member of the department
760 regarding the nature and scope of the work in the charge of that member; (3) call and preside at
761 meetings of the departmental faculty for explanation and discussion of departmental policies,
762 educational procedure, and research, of which there shall be at least one in each academic year
763 for consideration of departmental governance and educational policy; (4) be responsible for the
764 organization of the work of the department, for the quality and efficient progress of that work,
765 for the formulation and execution of departmental policies, and for the execution of University
766 and college policies insofar as they affect the department; (5) report on the teaching and research
767 of the department; (6) have general supervision of the work of students in the department;
768 (7) prepare the departmental budget in consultation with the departmental advisory committee;
769 and (8) be responsible for the distribution and expenditure of departmental funds and for the care
770 of departmental property.

771

772 e. In the administration of the office, the head shall recognize the individual
773 responsibility of other members of the department for the discharge of the duties committed to
774 them by their appointments and shall allow proper scope to the ability and initiative of all
775 members of the department.

776

777 f. In each department organized with a head, there shall be an advisory committee
778 elected annually by and from the faculty of the department by secret written ballot. ~~–~~ The
779 department faculty may choose to elect members of the advisory committee for staggered two- or
780 three-year terms. ~~–~~ In a department which has a faculty of not more than five members, the
781 advisory committee shall consist of the entire faculty. ~~–~~ In all other cases, the size of the advisory
782 committee shall be determined by the faculty of the department. ~~–~~ The functions of the committee
783 shall be to provide for the orderly voicing of suggestions for the good of the department, to

784 recommend procedures and committees that will encourage faculty participation in formulating
785 policy, and to perform such other tasks as may be assigned to it.—Any faculty member shall be
786 entitled to a conference with the committee or with any member of it on any matter properly
787 within the purview of the committee.—If the advisory committee is in session to evaluate the
788 head’s performance, the head shall not be a member and the committee shall be chaired by a
789 committee member elected by the committee for that purpose.

790
791 **Rationale: Section 4 was deleted because it conflicts with Article VIII, Section 4.**
792

793 **~~Section 4. Change of Departmental Organization~~**

794
795 ~~On the written request of at least one-fourth of the faculty of the department, as defined~~
796 ~~in Article II, Section 3a(1), and in no case fewer than two faculty members that the form of the~~
797 ~~organization of the department be changed, the dean shall call a meeting to poll the departmental~~
798 ~~faculty by secret written ballot. The names of those making the request shall be kept confidential~~
799 ~~by the dean. The dean shall transmit the results of the vote to the departmental faculty and to the~~
800 ~~chancellor/vice president together with the dean’s recommendation. If a change of organization~~
801 ~~is voted, the chancellor/vice president shall thereupon transmit this recommendation to the~~
802 ~~president for recommendation to the Board of Trustees. Faculty of the department may~~
803 ~~communicate with the Board of Trustees in accordance with Article XIII, Section 4 of these~~
804 ~~Statutes.~~
805

806 **ARTICLE V. GRADUATE COLLEGES**

807
808 **Section 1. The Campus Graduate College**

809
810 **a.** On a campus with a Graduate College, the Graduate College shall have jurisdiction
811 over all programs leading to graduate degrees as determined by senate action and approved by
812 the Board of Trustees.—It is the responsibility of the Graduate College to develop and safeguard
813 standards of graduate work and to promote and assist in the advancement of research in all fields.

814
815 **b.** Except as otherwise provided in this section, the Graduate College shall be
816 governed by the same regulations as govern other colleges.

817
818 **c.** The faculty of the Graduate College consists of the president, the chancellor/vice
819 president, the provost or equivalent officer, the dean, and all those who on the recommendation
820 of the departments or of other teaching or research divisions have been approved by the
821 executive committee and the dean of the Graduate College to assume appropriate academic
822 responsibilities in programs leading to graduate degrees.—Other administrative staff members are
823 members of the faculty of the Graduate College only if they also hold faculty appointments and
824 have been recommended and approved as provided above.

825
826 **d.** An executive committee shall be the primary advisory committee to the dean of the
827 Graduate College.—It shall advise the dean on the formulation and execution of policies and on
828 other activities of the Graduate College.—The executive committee consists of fourteen members